



Meeting Agenda
Wednesday, January 22, 2025
1250 Main Street
El Centro, CA 92243
12:00 P.M.

CONFLICT OF INTEREST ADVISEMENT

WDB members please be advised: *If an item on the meeting agenda relates to the provision of services by you, your immediate family, the entity you represent, or any person who has made \$250 in campaign contributions to you during the last 12 months, or if approval or disapproval of an agenda item would have a foreseeable material effect on an economic interest of you, your immediate family, or the entity you represent, then please follow these procedures: When the agenda item is first introduced, please immediately announce that you are recusing yourself from participating in the agenda item, and then refrain from discussing, voting on, or otherwise influencing the WDB's consideration of the agenda item. Supporting documentation is available for public review at the Imperial County Workforce Development Board Office.*

1. **Call to Order**
 - a. Pledge of Allegiance
 - b. Conflict of Interest forms
2. **Discussion of Agenda**
 - a. Items to be pulled from Agenda.
 - b. Approval of Meeting Agenda
3. **Approval of Minutes for December 11, 2024.....pg. 3-8**

PRESENTATIONS

4. Update on the Lithium Valley Workforce and Economic Development Needs Assessment by RAND

ACTION AGENDA

5. Discussion/Action regarding approval of the Conference and Travel Plan for Calendar Year 2025pg. 9-10
6. Discussion/Action regarding WIOA Title I Eligibility Technical Assistance Guide Policy..pg. 11-108
7. Discussion/Action regarding Dependent Status Policy.....pg. 109-112

INFORMATIONAL AGENDA

PUBLIC COMMENTS: *This is an opportunity for members of the public to address the Board on any subject matter within the Board's jurisdiction, but not an item on the agenda. Each speaker should complete and submit a "Public Comment Request to Speak" form to the ICWDB Chair. When addressing the Board, state*

your name for the record prior to providing your comments. Individuals will be given three (3) minutes to address the committee.

8. **Committee Members' Reports:**

- a. Board of Supervisors
- b. ICWDB Chair Report
- c. Director's Report
- d. Business Services Unit Report
- e. Budget and Finance Committee
 - i. December 2024 Financial Statementpg. 113
- f. Business and Planning Committee
- g. One Stop Policy Oversight Committee
- h. Youth Committee

9. **Meeting adjournment**

America's **JobCenter**
of CaliforniaSM

Next ICWD Board Meeting
Wednesday, February 26, 2025, at 12:00 p.m. (tentative)



1250 W. Main Street
El Centro, CA 92243

**Imperial County Workforce Development Board
Meeting Minutes of December 11, 2024**

Present: Elvira Anaya, Erik Freeman, Jason Jackson, Nicolas Jimenez, Timothy Kelley, Edwin Obergfell, Robert Rubio, Efrain Silva

Absent: Ruth Duarte, Mark Gran, Daniel Machain, Darrell Pechtl, Cesar Rodriguez, Annie Taamillo

Staff: Priscilla Lopez, David Baquerizo (AJCC Operator), Veronica Curiel, Jeff Burquist, Camilo Garcia, Allison Duran, Carmen Aguilar, Francisca German, Roman Hernandez, Angelica Padilla, Martin Robledo, Blanca Soto

Guests: April Mazon, Elizabeth Espinoza, Adrian Gonzales, Vivian Perez, Serafin Fregoso, Gabriel Aguirre

Agenda Items

1. Call to Order ICWDB Chair, Erik Freeman, called the meeting to order at 12:01 p.m. with a quorum present.

1a. Pledge of Allegiance ICWDB Treasurer, Robert Rubio led the board into the Pledge of Allegiance.

1b. Conflict of Interest Forms Members were directed to turn in their Conflict of Interest Forms to staff.

2. Discussion of Agenda

2a. Items to be pulled from Agenda

None

2b. Approval of Meeting Agenda

- ❖ **Motion:** A motion was made by **Jason Jackson** and seconded by **Elvira Anaya** to approve the meeting agenda as is. There were no opposed and there were no abstentions. The motion carried unanimously.

3. Approval of Meeting Minutes – October 23, 2024

- ❖ **Motion:** A motion was made by **Elvira Anaya** and seconded by **Timothy Kelley** to approve the meeting minutes of October 23, 2024, as presented. There were no opposed and there were no abstentions. The motion carried unanimously.

Presentations

4. WIOA Youth Program Services Update: Imperial Valley Regional Occupational Program (IVROP)

Adrian Gonzales, Program Manager II, of IVROP, provided a progress update on the Project Connect Youth

Program at its midpoint for the program year. Mr. Gonzales reported that 188 WIOA eligible youth are currently enrolled, with a goal of reaching 300 by the end of the year.

- **Work Experience (WEX):** 59 participants are placed with various employers across industries such as retail and automotive. IVROP aims to enroll 150 youth in WEX placements.
- **Work Readiness Training:** IVROP has developed a 20-hour curriculum covering Customer Service, Professionalism, and Mock Interviews. So far, 85 youth have completed this training, with a target of 154 completions by year-end.
- **Educational Tutoring:** The program has served 33 participants, with a goal of reaching 80. The tutoring covers K Tutoring as well as high school subjects and GED preparation.
- **WIOA Educational Workshops:** These workshops, including Financial Literacy, Labor Market Information, and Entrepreneurship, have seen participation from 133 youth, aiming to serve 207 by year-end.
- **Leadership Activities:** Aiming to engage 170 youth, these activities include workshops and community service, typically scheduled later in the year because of other services being focused on like WEX. As of December 2024, 63 participants have completed these activities.
- **Follow-Up Services:** Provided for one year post-program, these services include job and career check-ins, educational progress, relocations status, and additional resources as needed when follow-up is happening.
- **Supportive Services:** Currently offered to 80 participants, with expectations to grow in the coming months.

Mr. Gonzales reported a Participant Budget expenditure of \$263,989, accounting for 23% of the total budget, and noted that 81% is allocated towards WEX stipends. Expenditures are expected to increase with more WEX placements, especially during the holiday season.

The Operational Cost budget reflected \$380,000 in expenditures. Adrian shared that recent program support staff hires will help keep the budget on track for full expenditure by year-end.

Mr. Gonzales also shared about the following recent event highlights:

- On November 21, 2024, a field trip was coordinated to SimInsights in Orange County, a tech company specializing in mixed reality. A video of the visit was shared, and IVROP has procured SimInsights software for future career simulations and workshops. Adrian thanked the Orange County Workforce Development Board for helping set this field trip up.
- On November 26, IVROP hosted an Educational Workshop Bootcamp at IV Food Bank, attended by 50 youth. Presentations were delivered by the Learning Institute of Southern California, Imperial Valley Small Business Development Center, and the Imperial County Sheriff's Office.
- On December 2, IVROP staff and four youth participants assisted at the Annual Farmworkers Breakfast hosted by the Center for Employment Training (CET), working overnight from 9:30 p.m. to 6:30 a.m. Elvira Anaya, CET Director, shared that the Youth were extremely helpful with assisting at the event.

5. WIOA Youth Program Services Update: Equus Workforce Solutions

Serafin Fregoso, Operations Supervisor, of Equus, provide a progress update on Equus' Youth Program in Imperial County. As of December 2024, 41% of the budget has been expended and of that 41%, 43% has been expended on WEX, Supportive Services, and Individual Training Accounts (ITAs). The program has enrolled 199 youth, comprising 110 In-School Youth and 89 Out-of-School Youth. To date, 36 participants have completed their WEX,

while 40 are currently active. Six youth have been enrolled in ITAs, with two earning credentials in Medical Office Assistance and Welding Certification.

Mr. Fregoso highlighted ongoing workshops covering topics such as Sexual Harassment Training, Resume Development, Interview Training, and Workplace Expectations. Equus has also recently collaborated with Women Haven and the Red Cross to offer a Career Exploration Presentation, showcasing career opportunities and the volunteer process. This event had 48 in-person attendees and seven virtual participants from more rural areas of the county.

Discussion on Participants with IEP/504 Plans

Chairman Freeman requested that each provider report the number of participants with an Individualized Education Program (IEP) or 504 plan. He emphasized that this information could enhance the Board's understanding of potential improvements and the value of partnering with other organizations to provide earlier support, reducing the need for extensive services later. Director Priscilla Lopez noted a noticeable increase in the number of youth identified with disabilities in recent years. IVROP Superintendent Edwin Obergfell concurred, observing a similar trend in schools with a rise in students requiring additional support.

Action Agenda

6. Discussion/Action regarding support and endorsement of Imperial Valley College's Application for a Bachelor's Degree Program in Industrial Automation to the California Community Colleges Chancellors Office

Efrain Silva, Dean of Workforce Development at Imperial Valley College (IVC) and ICWDB Member, along with April Mazon, Program Manager of LIFT the Valley at IVC, provided a presentation on IVC's proposal to the Chancellor's Office for approval to offer a Bachelor's Degree Program. Mr. Silva noted one key requirement for approval is that IVC's Industrial Automation Bachelor's Program must not duplicate programs offered by California State Universities (CSUs) or the University of California (UCs).

The presentation outlined the steps IVC has completed in the application process, the required components—such as demonstrating local need and ensuring the program's sustainability—and the timeline for submission. Mr. Silva highlighted that IVC has shown demand for the program through letters of support from local industries, including those involved in lithium extraction and geothermal energy, which are looking to expand. He also detailed the curriculum, emphasizing the focus on technical skills and management within the Industrial Automation industry, and provided an overview of the courses in both the associate and bachelor's programs.

- ❖ **Motion:** A motion was made by **Robert Rubio** and seconded by **Timothy Kelley** to approve supporting Imperial Valley College's application for a Bachelor's Degree in Industrial Automation to the California Community Colleges Chancellors Office and authorize providing a Letter of Support. There were no opposed and **Efrain Silva** abstained from voting. The motion carried unanimously.

7. Discussion/Action to approve the first agreement renewal of the Registered Nurse Mentorship Program with IVROP at a cost not to exceed \$577,500 for the period of January 1, 2025, through June 30, 2026

ICWED Director Priscilla Lopez introduced the item, sharing that there is an allowance for this board to approve up to two (2) contract renewals per the Request for Proposals that was released in October 2023 soliciting services of an RN Mentorship Services program provider. Director Lopez discussed that IVROP did submit a contract renewal request with a new cohort of final-semester RN students at IVC set to begin in Spring 2025, followed by another in Fall 2025. Ms. Lopez also discussed the funding amount request has been reviewed and there is a approximate 5% increase from what was approved in the first contract amount of \$550,000, which were addressed in IVROP's renewal request provided in the agenda packet. IVROP has proposed to increase the number of students served from 55-65 to 75-85.

Efrain Silva shared that the Board of Nursing has increased the limit IVC is able to accept per year from 80 students to 150 students, which can help expand this training program.

- ❖ **Motion:** A motion was made by **Robert Rubio** and seconded by **Elvira Anaya** to approve the first agreement renewal for the WIOA Registered Nurse Mentorship Program with Imperial Valley Regional Occupational Program at a cost not to exceed \$577,500. There were no opposed and **Edwin Oberfell** abstained. The motion carried unanimously.

8. Discussion/Action to approve the second agreement renewal for the Licensed Vocational Nurse (LVN) Mentorship Program with IVROP at a cost not to exceed \$362,730 for the period of January 1, 2025, through June 30, 2026

ICWED Director Priscilla Lopez introduced the item and explained that this item and program is similar to the RN Mentorship Program. With the current LVN Mentorship Program, concluding December 31, 2024, IVROP has requested a 2nd contract renewal to serve the upcoming cohort of LVN Students at IVC. Ms. Lopez shared the program falls in line with local healthcare industry needs as well as throughout the state as LVNs are always in demand.

- ❖ **Motion:** A motion was made by **Timothy Kelley** and seconded by **Ruth Duarte** to approve the second agreement renewal for the LVN Mentorship Program with IVROP at a cost not to exceed \$362,730 for the period of January 1, 2025, through June 30, 2026. There were no opposed and **Edwin Oberfell** abstained. The motion carried unanimously.

9. Discussion/Action to approve revisions made to ICWDB Bylaws

ICWDB Chair Erik Freeman introduced the item, and WDB Analyst Veronica Curiel provided an overview of the recommended changes to the Sections 4.2.1, 8.4, 9.1 and 9.4 of the Bylaws. Chairman Freeman discussed how the revisions will help with efficiency and continued compliance of WIOA.

- ❖ **Motion:** A motion was made by **Robert Rubio** and seconded by **Elvira Anaya** to approve the revised ICWDB Bylaws as presented. There were no opposed and there were no abstentions. The motion carried unanimously.

Informational Agenda

10. Public Comment.

Elvira Anaya, Center for Employment Training, announced the Farm Workers Breakfast held last week was very successful and around 2,000 Farmworkers were served at the overnight event.

Efrain Silva, Imperial Valley College, announced that the Head of the California Community College's will be visiting IVC campus on February 20, 2025 to gain a better understanding of lithium efforts.

10. Reports

a. Board of Supervisors No report.

b. ICWDB Chair Report

Chair Erik Freeman emphasized the importance of prioritizing the expenditure of funds in compliance with WIOA regulations to avoid the reallocation of funds back to the state. He also announced the initial planning stages for a Career/Resource Fair, which is anticipated to take place in Fall 2025.

c. Director's Report

Priscilla Lopez, Director of the Imperial County Workforce and Economic Development (ICWED), provided updates on the following:

- **High Road Training Pathway Grant Submission:** A grant proposal was recently submitted with Good For Others to establish an LVN to RN Pathway Program in collaboration with IVROP and IVC.
- **Leadership Update at California Workforce Association:** The association has recently appointed a new Director.
- **WIOA Reauthorization Progress:** Ms. Lopez shared that the reauthorization of WIOA, titled "A Stronger Workforce for America Act (ASWA)," is progressing toward approval and may soon be enacted. A significant component under review is the minimum training expenditure requirement, proposed to increase to 50%, up from the current 30% under WIOA. However, it is anticipated that up to 8% of support services could be leveraged towards this requirement, effectively reducing the minimum direct training expenditure to 42%.

d. Business Services Unit Report

Carmen Aguilar, Business Services Employment Coordinator, reported that on November 11th the Business Services Team hosted a hiring event with Customs and Border Patrol at the Business Resource Center. Ms. Aguilar also provided updates on new enrollments of the On-the-Job Training Program.

e. Budget and Finance Committee and Financial Statement

Robert Rubio, ICWDB Treasurer, reported the committee will be meeting in February 2025. Allison Duran, ICWED Fiscal Supervisor also reported and overviewed the October 2024 Financial Statement.

f. Business and Planning Committee

Next meeting is scheduled on January 9, 2025, at 12:00 p.m.

g. One Stop Policy Oversight Committee

Next meeting is scheduled on January 15, 2025, at 12:15 p.m.

h. Youth Committee

Elvira Anaya, Youth Committee Chair, thanked Youth Program Providers for their presentations at today's meeting and shared the next meeting will be held January 16, 2025 at 12:00 a.m.

11. Meeting Adjourned at 1:11 p.m.

- ❖ **Motion:** A motion as made by Jason Jackson and seconded by Timothy Kelley to adjourn the meeting at 1:11 p.m. There were no opposed and there were no abstentions. The motion carried unanimously.

Next Meeting: January 22, 2025 at 12:00 p.m.

**Imperial County Workforce Development Board
Action Agenda Item 5**

MEETING DATE: January 22, 2025

ITEM: 5

SUBJECT: Discussion/Action regarding approval of the Conference and Travel Plan for Calendar Year 2025

RECOMMENDATION:

ICWEDO Director recommends to approve the Conference and Travel Plan for Calendar Year at a total cost not to exceed \$86,345.

BACKGROUND:

In previous years, ICWED and ICWDB Board Members have attended annual conferences hosted by national and state workforce development organizations. These conferences are aligned with ICWDB's strategic goals and priorities, offering valuable opportunities for networking, learning best practices, and staying updated on Workforce Innovation and Opportunity Act developments. They also align local efforts align with national workforce development trends.

For 2025, the proposed Conference and Travel Plan includes expenses for WDB Members and Board of Supervisors (BOS) Representatives, capped at \$39,420, and for ICWED Staff, capped at \$46,925, totaling \$86,345. In Program Year 2024-2025, \$45,000 was allocated for WDB conferences/travel and \$47,700 for ICWED staff, totaling \$92,700.

This plan is intended to prevent last-minute approvals and improve cost management by enabling early bookings of flights and hotel accommodations, as well as facilitating better calendar planning for staff and board members.

FISCAL IMPACT:

\$86,345

2025 Conference Travel Plan

WDB MEMBERS AND BOS REPRESENTATIVES

Conference	Location	Dates	Hotel Costs	Airfare Costs	Registration Costs	Per Diem	Incidentals	Total Cost Per Person	Board Members	Total Cost
NAWB the Forum 2025	D.C.	3/29 - 4/1	\$1,650 (total 4 nights)	\$1,000	\$1,250	\$265	\$175	\$4,340	2	\$8,680
NAWDP	Virginia Beach	5/4 - 5/7	\$1,500 (total 4 nights)	\$1,200	\$750	\$265	\$175	\$3,890	6	\$23,340
CWA Meeting of the Minds	Monterey	9/2 - 9/4 (tentative)	\$1,300 (total 3 nights)	\$750	\$1,250	\$225	\$175	\$3,700	2	\$7,400
									Total Cost Estimates	\$39,420

ICWED STAFF

Conference	Location	Dates	Hotel Costs	Airfare Costs	Registration Costs	Per Diem	Incidentals	Total Cost Per Person	Staff	Total Cost
NAWB the Forum 2025	D.C.	3/29 - 4/1	\$1,650 (total 4 nights)	\$1,000	\$1,250	\$265	\$175	\$4,365	3	\$13,095
NAWDP	Virginia Beach	5/4 - 5/7	\$1,500 (total 4 nights)	\$1,200	\$750	\$265	\$175	\$3,890	2	\$7,780
CWA Work Con	Southern California	4/29 - 5/1	\$1,300 (total 3 nights)	\$0	\$1,250	\$225	\$175	\$2,975	5	\$14,875
CWA Meeting of the Minds	Monterey	9/2 - 9/4 (tentative)	\$1,300 (total 3 nights)	\$750	\$1,250	\$225	\$175	\$3,725	3	\$11,175
									Total Cost Estimates	\$46,925

**Imperial County Workforce Development Board
Action Agenda Item 6**

MEETING DATE: January 22, 2025

ITEM: 6

SUBJECT: Discussion/Action to approve the “WIOA Title I Eligibility Technical Assistance Guide Policy”

FROM: Priscilla Lopez, ICWED Director

RECOMMENDATION:

ICWED Director recommends approving the WIOA Title I Eligibility Technical Assistance Guide Policy

BACKGROUND:

The WIOA authorizes a workforce development system to provide workforce preparation and employment services to eligible adults, dislocated workers, and youth. The WIOA Title I Eligibility Technical Assistance Guide (TAG) assists Local Areas in establishing eligibility guidelines for the WIOA adult, dislocated worker, and youth programs.

The WIOA Title I Eligibility TAG provides guidance regarding participant eligibility, acceptable documentation, document verification, and clarifies areas of flexibility in eligibility policies, procedures, and definitions. The TAG includes form fields to allow Local Areas to incorporate their local guidance.

Eligibility can be very cumbersome and overwhelming for staff. There are many interpretations that can be made, and consistent, clear guidance, along with robust tools like the WIOA Title I Eligibility TAG, can help staff navigate these challenges with confidence and accuracy.

FISCAL IMPACT:

None

POLICY	ORIGINAL DATE	LAST REVISION
WIOA Title I Eligibility Technical Assistance Guide Policy	FISCAL YEAR 2024-2025	January 2025

POLICY OVERVIEW:

This policy provides the guidance and establishes the procedures for determining an individual’s eligibility to participate in the *Workforce Innovation and Opportunity Act* (WIOA) Title I programs. It is intended for use by the Imperial County Workforce Development Board (ICWDB), the Imperial County Workforce and Economic Development Office (ICWED), the America’s Job Center of California (AJCC), and WIOA funded Service Providers.

REFERENCES:

- WIOA (Public Law 113-128)
- Jobs for Veterans Act of 2002 (Public Law 107-288)
- Title 26 United States Code (U.S.C.), Internal Revenue Code, Section 139E: Indian General Welfare Benefits
- Title 42 U.S.C., Public Health and Welfare, Section 12102: Definition of Disability
- Title 2 Code of Federal Regulations (CFR) Part 200: Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (Uniform Guidance)
- Title 2 CFR Part 2900: Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (Department of Labor Exceptions)
- Title 20 CFR Part 675, et al.: Workforce Innovation and Opportunity Act, Final Rule
- Title 20 CFR Part 1010: Application of Priority of Service for Covered Persons
- Title 29 CFR Part 38: Implementation of the Nondiscrimination and Equal Opportunity Provisions of the Workforce Innovation and Opportunity Act
- Training and Employment Guidance Letter TEGL 10-16, Change 3, *Performance Accountability Guidance for WIOA Core Programs* (June 11, 2024)
- TEGL 23-19, Change 2, Revisions to TEGL 23-19, Change 1, *Guidance for Validating Required Performance Data Submitted by Grant Recipients of U.S. Department of Labor (DOL) Workforce Programs* (May 12, 2023)
- TEGL 09-22, *WIOA Title I Youth Formula Program Guidance* (March 2, 2023)
- TEGL 23-19, Change 1, *Guidance for Validating Required Performance Data Submitted by Grant Recipients of DOL Workforce Programs* (October 25, 2022)
- TEGL 21-16, Change 1, *Third WIOA Title I Youth Formula Program Guidance* (July 30, 2021)
- TEGL 14-18, *Aligning Performance Accountability Reporting, Definitions, and Policies Across Workforce Employment and Training Programs Administered by the DOL* (March 25, 2019)
- TEGL 26-16, *Guidance on the use of Supplemental Wage Information to implement the Performance Accountability Requirements under the WIOA* (June 1, 2017)

- TEGL 21-16, *Third WIOA Title I Youth Formula Program Guidance* (March 2, 2017)
- TEGL 19-16, *Guidance on Services provided through the Adult and Dislocated Worker Programs under the WIOA and the Wagner-Peyser (W-P) Act Employment Service (ES), as amended by title III of WIOA, and for Implementation of the WIOA Final Rules* (March 1, 2017)
- TEGL 8-15, *Second Title I WIOA Youth Program Transition Guidance* (November 17, 2015)
- TEGL 26-13, *Impact of the U.S. Supreme Court's Decision in United States v. Windsor on Eligibility and Services Provided under Workforce Grants Administered by the Employment and Training Administration* (June 18, 2014)
- TEGL 10-09, *Implementing Priority of Service for Veterans and Eligible Spouses in all Qualified Job Training Programs Funded in whole or in part by the DOL* (November 2009)
- TEGL 11-11, *Change 2, Selective Service Registration Requirements for Employment and Training* (May 16, 2012)
- TEGL 22-04, *Change 1, Serving Military Service Members and Military Spouses under the WIA Dislocated Worker Formula Grant* (September 28, 2007)
- TEGL 22-04, *Serving Military Service Members and Military Spouses under the Workforce Investment Act Dislocated Worker Formula Grant* (March 22, 2005)
- *California's Unified Strategic Workforce Development Plan (State Plan) 2020-2023*
- Workforce Services Directive WSD23-03, *Performance Guidance* (July 12, 2023)
- WSD24-02, *LLSIL And Poverty Guidelines* (August 20, 2024)
- WSD22-15, *WIOA Data Validation Source Documentation* (June 27, 2023)
- WSD20-11, *Pseudo Social Security Number in CalJOBS* (April 29, 2021)
- WSD19-06, *CalJOBS Activity Codes* (December 27, 2019)
- WSD19-04, *Priority of Service for Veterans and Eligible Spouses* (September 11, 2019)
- WSD18-03, *Pathway to Services, Referral, and Enrollment* (August 28, 2018)
- WSD18-02, *Data Change Request Form Procedure* (July 31, 2018)
- WSD17-07, *WIOA Youth Program Requirements* (January 16, 2018)
- WSD16-18, *Selective Service Registration* (April 10, 2017)
- WSD15-14, *WIOA Adult Program Priority of Service* (January 22, 2016)

BACKGROUND:

The WIOA authorizes a workforce development system to provide workforce preparation and employment services to eligible adults, dislocated workers, and youth. The WIOA Title I Eligibility Technical Assistance Guide (TAG) assists Local Areas in establishing eligibility guidelines for the WIOA adult, dislocated worker, and youth programs.

POLICY AND PROCEDURES:

The WIOA Title I Eligibility TAG provides guidance regarding participant eligibility, acceptable documentation, document verification, and clarifies areas of flexibility in eligibility policies, procedures, and definitions. The TAG includes form fields to allow Local Areas to incorporate their local guidance.

Local areas are required to develop local policies, procedures, and definitions as indicated in the TAG, and ensure this guidance is available to all frontline staff responsible for determining eligibility. Local areas must ensure their local guidance complies with the requirements of the TAG, and all federal and state requirements.

Federal and state monitors and auditors will verify that the local eligibility policies, procedures, and definitions have been communicated and implemented within the Local Area. Local guidance that does not correctly reflect federal and state requirements may result in disallowed costs.

ACTION:

The Compliance Unit is responsible for developing and implementing Staff Resource Guides (SRGs) that incorporate all requirements outlined in this policy. The Compliance Unit must also ensure that the policies and procedures described herein are effectively communicated across the organization's operations, management, and WIOA funded service providers.

Please ensure that this policy is brought to the attention of all appropriate staff and relevant parties. This policy is effective immediately. Once approved by the ICWDB, subsequent changes will not require board approval unless the revisions affect established protocols. Furthermore, this policy must be appropriately maintained until further notice.

INQUIRIES:

Should you have any questions, please feel free to contact ICWDB staff at (442) 265-7584, (442) 265-4955, (442) 265- 4974 or the Program and Compliance Manager (442) 265-4963.

Attachments:

1. WIOA Title I Eligibility Technical Assistance Guide
2. Acceptable Documentation List for WIOA Title I Program Eligibility
3. Summary of Comments



Workforce Innovation and Opportunity Act

Title I Eligibility

Technical Assistance Guide

SEPTEMBER 2024

Revised by the
Imperial County
Workforce Development
Board January 2025



WIOA Title I Eligibility Technical Assistance Guide

TABLE OF CONTENTS

TITLE I ELIGIBILITY	1
TECHNICAL ASSISTANCE GUIDE.....	1
WIOA TITLE I ELIGIBILITY TECHNICAL ASSISTANCE GUIDE	2
Introduction	4
Inquiries	4
Chapter 1 – Local Flexibility	5
Chapter 2 – Enrollment Process	6
2.1 Overview	6
2.2 WIOA Program Application.....	6
2.3 Application Process.....	7
2.4 Eligibility Determination	8
2.5 Participation.....	9
2.6 Concurrent Participation.....	9
2.7 Exited Participants	10
Chapter 3 – General Eligibility Criteria.....	11
3.1 Selective Service System Registration	11
3.2 Age	13
3.3 Authorization to Work	13
Chapter 4 – Priority of Service	16
4.1 Priority of Service Overview.....	16
4.2 Veterans	16
4.3 Adult Program	18
4.4 Applying Priority of Service.....	19
Chapter 5 - Eligibility Criteria for Career and Training Services.....	21
5.1 Eligibility for Career Services	21

5.2 Eligibility for Training Services	21
5.3 Self-Sufficiency.....	22
5.4 Local Procedures and Eligibility Criteria	23
Chapter 6 - Eligibility Criteria for Dislocated Workers.....	25
6.1 Determining Dislocated Worker Status	25
6.2 Definitions and Standards for Eligibility Criteria.....	26
6.3 Layoffs, Furloughs, Temporary Layoffs, and Lockouts.....	30
6.4 Veterans and Military Spouses	31
6.5 Related Definitions.....	32
Chapter 7 - Additional Eligibility Criteria for Youth	34
7.1 Eligibility for Out-of-School Youth Services	34
7.2 Eligibility for In-School Youth Services.....	35
7.3 Five Percent Low-Income Eligibility Exception	37
7.4 Local Definitions and Eligibility Criteria	37
7.5 Youth Related Definitions	41
Chapter 8 - Low-Income Individual Determination	44
8.1 Low-Income Individual.....	44
8.2 Low-Income Related Definitions.....	45
8.3 Dependent Children.....	47
8.4 Determining Low-Income Status	48
Chapter 9 - Definitions.....	52

Introduction

The goal of the Workforce Innovation and Opportunity Act (WIOA) Title I Eligibility Technical Assistance Guide (TAG) is to assist Local Workforce Development Areas (Local Area) in determining participant eligibility for the WIOA Title I Adult, Dislocated Worker, and Youth programs.

Inquiries

For questions regarding the WIOA Title I Eligibility TAG, contact your assigned [Regional Advisor](#).

Chapter 1 – Local Flexibility

The WIOA provides authority to the state and Local Workforce Development Boards (Local Board) to establish their own eligibility policies, procedures, and definitions to meet the needs of their Local Areas as long as they are consistent with the WIOA. The TAG outlines these areas of flexibility and includes form fields for Local Boards to enter their specific eligibility guidance. All state-imposed requirements are indicated in ***bold, italic*** font.

Local Areas are required to develop local policies, procedures, and definitions as indicated in the TAG and ensure this guidance is available to all frontline staff responsible for determining eligibility. Local Areas must ensure their local guidance complies with the requirements of the TAG as well as all federal and state laws and policies. Local Areas are not required to use the form fields within this TAG and may utilize other methods for releasing local eligibility guidance.

Federal and state auditors will verify that local eligibility policies, procedures, and definitions have been appropriately communicated and implemented within the Local Area. Local guidance that does not accurately reflect federal and state requirements may result in disallowed costs.

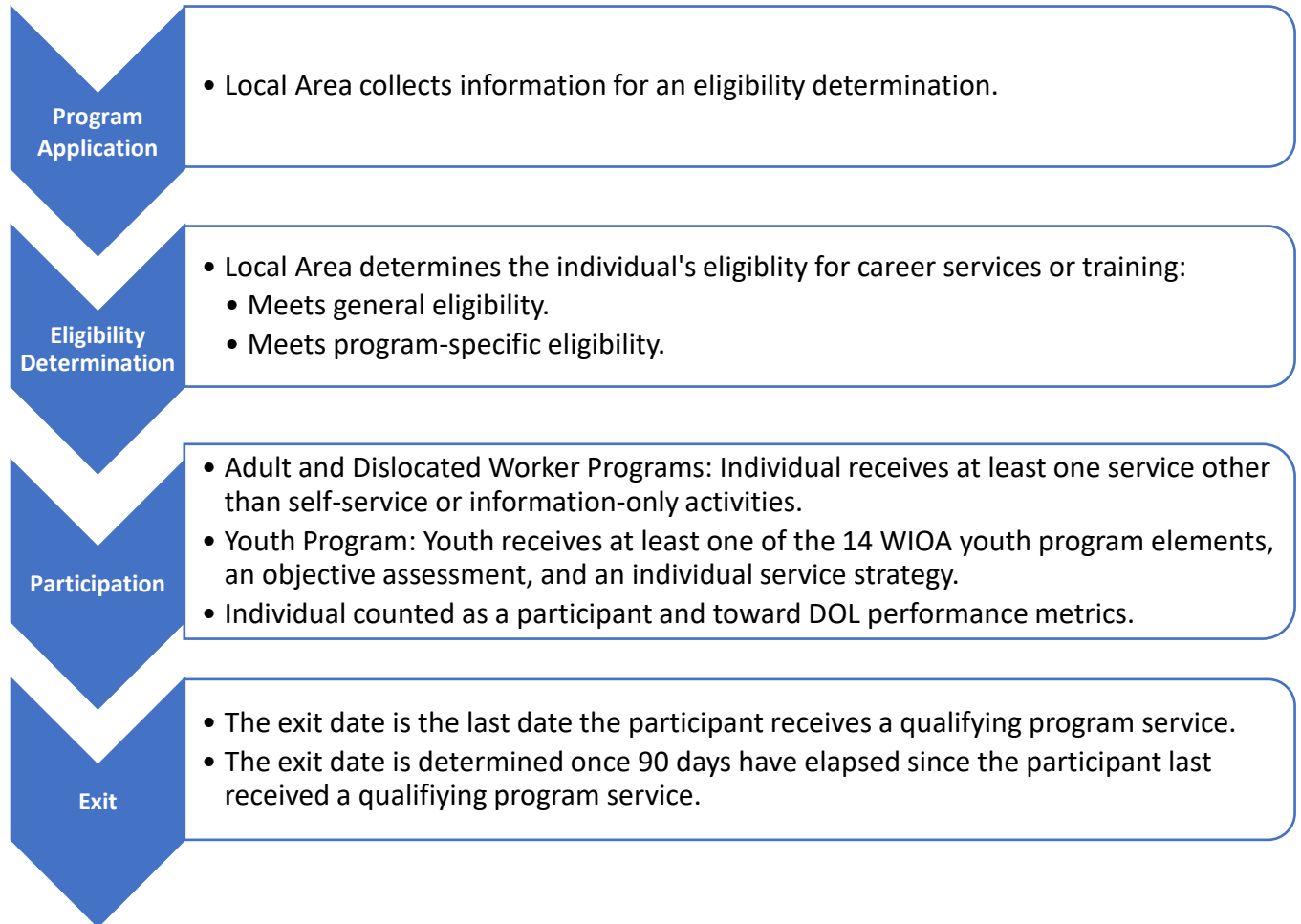
(References: WIOA Sections 107(d)(8) and 185(c); Title 20 *Code of Federal Regulations* [CFR] Section 675.100; Training and Employment Guidance Letter [TEGL] 14-18)

Chapter 2 – Enrollment Process

2.1 Overview

To receive WIOA-funded services, other than basic career self-services or information-only activities, individuals must be registered and determined eligible.

The following diagram illustrates the enrollment process:



(References: Title 20 CFR Sections 678.430, 680.110 and 681.320; TEGL 19-16 and TEGL 21-16; WSD18-03, WSD19-06, and WSD22-15)

2.2 WIOA Program Application

During program intake, Local Areas collect information, including identifying information, to determine an individual's eligibility for services. Local Areas may collect information through several methods including CalJOBS, a personal interview, or the individual's WIOA application. The WIOA application is the general tool Local Areas use to collect information and determine an individual's eligibility for services.

For an individual to be enrolled into a WIOA program, all of the following must occur:

1. An eligibility determination.
 - Information must be collected from the individual to support an eligibility determination. This information is collected through the individual's WIOA program application. Local Areas should make every effort to ensure that applications are completed in entirety and all demographic and barrier information is collected for the individual. The application may be a paper application that is physically signed or an electronic application that is electronically signed.
2. The individual must be asked for Equal Opportunity (EO) data (the Local Area must request EO data, which may be included on the WIOA Application, but an individual is not required to disclose).
3. Receive any staff-assisted basic career service, individualized career service, or training service.
 - For the Youth program, an individual must receive all four required components to be enrolled as a participant: an eligibility determination, an objective assessment, the development of an individual service strategy, and receipt of any of the 14 WIOA youth program elements.

(References: Title 20 CFR Sections 675.300, 680.110, 681.320; Title 29 CFR Part 38; TEGL 10-16, Change 3; WSD18-03 and WSD22-15)

Note – For the WIOA Title I Adult, Dislocated Worker, and Youth programs, neither applicants nor their family members are required to disclose their Social Security Numbers (SSN). While Local Areas must request an individual's SSN for performance reporting purposes, Local Areas cannot deny services if an individual chooses not to provide their SSN. Refer to WSD20-11 for more information on the usage of pseudo-SSNs in CalJOBS.

(References: Title 20 CFR Section 677.175[a][2]; TEGL 10-23, TEGL 26-16; WSD20-11)

2.3 Application Process

There is no federal limitation on the amount of time allowed between the application date and when staff must document and verify eligibility. ***Nevertheless, so much time should not elapse before registration that it becomes unreasonable to assume the information about the individual is still true; otherwise, there may be disallowed costs associated with the individual's eligibility.*** Many Local Areas use 90 days as a general rule. CalJOBS automatically closes applications after 90 days and marks them as "closed - never enrolled" if no further action is taken.

Local Boards must establish policies and procedures for time limits covering the application process. The policies and procedures should address the following:

1. Amount of time individuals and staff have to obtain documentation.
2. Amount of time allowed to review an applicant's information and confirm eligibility.
3. Amount of time that can elapse between the application date and the enrollment date.
4. Any other applicable guidance.

(References: WSD18-02 and WSD18-03)

Local policy and procedures for time limits covering the application process:

To ensure income eligibility certification for WIOA Adult, Dislocated Worker, and Youth, the first enrollment date must occur within 90-days from the date of application. If enrollment does not occur within 90-days, the application must be reviewed, and all documentation must be updated to ensure eligibility certification is still valid.

2.4 Eligibility Determination

The WIOA distinguishes between general program eligibility and eligibility for services for participants. The WIOA is not an entitlement program and although an individual may meet program eligibility criteria it does not mean that they are guaranteed services. This is because funding for WIOA programs is not unlimited. Local Boards must offer services to all eligible applicants when funding is available.

General program eligibility applies to all WIOA Title I Adult, Dislocated Worker, and Youth programs and includes:

- Age
- Selective Service System Registration (as applicable)
- Authorization to work in the United States (as required)

Eligibility for services is related to local determinations regarding the individual's need, the participant's ability to benefit, and program-specific requirements.

Eligibility is determined at the time of enrollment. If an individual's situation changes while receiving services, the individual remains eligible until program exit. For example, an Out-of-School (OS) youth who is 24 years of age (the cut off age for OS youth) at the time of enrollment and subsequently turns 25 years of age, is still considered an OS youth until exited from the program.

(References: WIOA Sections 188[a][5], 189[h] and 194[12]; Title 20 CFR Section 681.210; TEGL 10-23 and TEGL 21-16; WSD18-03)

2.5 Participation

Below are the criteria for participation in the WIOA Adult, Dislocated Worker, and Youth programs.

Adult and Dislocated Worker Programs

An individual becomes a participant in the Adult or Dislocated Worker programs after completing the eligibility determination, satisfying all programmatic requirements, and receiving a staff-assisted basic career service, individualized career service, or training service. An individual who uses self-services, or is assisted with information-only activities, is not considered a participant and therefore does not need to meet eligibility requirements.

Youth Program

An individual becomes a participant in the Youth program after receiving all four required components (eligibility determination, objective assessment, individual service strategy development, and receipt of one of the 14 WIOA Youth program elements).

Note – For the Adult and Dislocated Worker programs, individuals who use self-service and/or receive information-only services or activities are considered reportable individuals. For the Youth program, if an individual fails to meet one or more of the items needed to be a participant, the individual will be reported as a reportable individual, and will not be included in performance calculations. Certain information about reportable individuals is required to be reported in quarterly and annual WIOA reports. Collecting and reporting information in CalJOBS allows for accurate representation of the number of individuals engaged with the workforce system.

(References: Title 20 CFR Sections 677.150 and 681.320; TEGL 14-18 and TEGL 10-16, Change 3, Attachment VII; WSD22-15)

2.6 Concurrent Participation

Individuals may be determined eligible for multiple WIOA programs and may participate concurrently in WIOA and AJCC partner programs if they meet the eligibility requirements of each program. Local program operators may determine the appropriate level and balance of services for individuals participating in youth and adult programs concurrently.

Examples of concurrent participation include:

- An eligible youth is served simultaneously in the WIOA Title I Youth program and the WIOA Title II Adult Education program to meet the individual's unique needs. The WIOA Title I resources can provide career guidance, work experience, and leadership development, while the WIOA Title II resources can provide adult education and literacy activities.
- A youth participant who is 18 to 24 years of age participates in both the WIOA Title I

Youth and Adult programs based on their assessed needs and readiness for adult services.

When an individual is enrolled in multiple WIOA programs, the use of Local Area funds must meet the following criteria:

1. The cost must benefit two or more programs in proportions that can be determined without undue effort or cost.
2. The cost to each funding stream must be tracked, documented, and allocated based on the proportional benefit to ensure there is no duplication of services.
3. Each funding stream must maintain its statutory requirements, including eligibility criteria and scope of authorized activities. The individuals must meet the eligibility requirements for each program from which they are receiving services.

(References: 20 CFR Section 681.430; TEGL 21-16; WSD19-09)

2.7 Exited Participants

An exit occurs when the participant has not received program services for 90 consecutive calendar days and no additional future services are scheduled. The program exit date is applied retroactively after 90 days to the last service's actual end date. Follow-up services, self-services, information-only services or activities, and supportive services do not delay, postpone, or affect the date of exit.

Refer to WSD22-15 for more detailed information on the types of exits and determining a participant's date of exit.

(References: TEGL 14-18; WSD19-06 and WSD22-15)

Chapter 3 – General Eligibility Criteria

To receive services under the WIOA Title I Adult, Dislocated Worker, or Youth programs, an individual must meet general eligibility criteria, which includes age, Selective Service registration (if applicable), and authorization to work in the United States (as required).

The three general eligibility criteria are discussed in detail in this chapter.

3.1 Selective Service System Registration

The WIOA Section 189(h) mandates that males to comply with registration requirements of the federal [Selective Service System](#) prior to participation in a WIOA Title I-funded program. Local Areas must ensure that each applicable male who participates in any local WIOA program or activity, or receives any WIOA assistance or benefit, has not knowingly and willfully violated Section 3 of the *Military Selective Service Act (MSSA)* by failing to register as required.

To be eligible to receive WIOA-funded services, males born on or after January 1, 1960, who are at least 18 years of age, but not yet 26 years of age, and who are not on active duty in the armed services, must present documentation showing compliance with Selective Service registration requirements. A male youth who reaches 18 years of age while participating in a WIOA program must register, as required, within 30 days of his 18th birthday to continue receiving WIOA-funded services.

The Selective Service System registration requirement for transsexual, transgendered, intersex, and non-binary individuals is based upon the gender assigned at birth. U.S. citizens or immigrants who are born male and changed their gender are required to register. Individuals who are born female and changed their gender are not required to register.

Males required to register include, but are not limited to:

- US citizens.
- Non-US citizens, including undocumented immigrants, legal permanent residents, asylum seekers, and refugees.
- Veterans discharged before their 26th birthday.
- Members of the Reserve and National Guard not on full-time active duty.
- Dual nationals of the US and another country.

Males who are not required to register include, but are not limited to:

- Males serving in the military on full-time active duty.
- Males attending one of the five military academies or certain military colleges.
- Male veterans discharged after their 26th birthday.
- Males who are hospitalized, institutionalized, or incarcerated are not required to register during their confinement. However, they must register within 30 days after being released if they have not yet reached their 26th birthday.

- Disabled males who meet specific criteria
- Non-US males on a valid non-immigrant visa (e.g., student, agricultural, and tourist visas) until they turn age 26.
- Non-US males who entered the US after their 26th birthday.

The above Selective Service registration requirements are not exhaustive. Refer to the Selective Service System website for a comprehensive list of who must register.

Refer to the *WIOA Title I Acceptable Documentation List* for acceptable forms of supporting documentation to show compliance with Selective Service requirements.

Occasionally, males who were subject to registration, but did not register and are now beyond their 26th birth date, will apply for assistance from a WIOA program. If it is determined that a male who is 26 years of age or older knowingly and willfully failed to register, the MSSA requires that services must be denied to that individual. However, when it can be determined that the applicant's failure to register was not willful or knowingly, he can be considered for participation.

Local Boards must develop policy and procedures for potential male participants who are 26 years of age or older and failed to register. The local policy may request potential male participants to present a Status Information Letter (which can be obtained from the [Selective Service System](#)) before a determination is made as to whether the individual knowingly and willfully failed to register. Alternatively, the local policy may establish that the process to determine whether the individual knowingly and willfully failed to register, may be initiated without first requesting a Status Information Letter.

For detailed guidance regarding Selective Service registration requirements, refer to WSD16-18.

(References: 50 USC Chapter 49, Sections 3802 and 3811[g], WSD16-18)

Local policy and procedures for determining whether an individual knowingly and willfully failed to register:

See LOCAL POLICY: "SELECTIVE SERVICE REGISTRATION POLICY"

3.2 Age

An individual's age must be verified to ensure they receive appropriate services. The following chart displays the age eligibility criteria for participation in the WIOA Adult, Dislocated Worker, and Youth programs.

WIOA PROGRAM	AGE	REFERENCE
Adult	18 years or older	WIOA Section 3(2)
Dislocated Worker	18 years or older	Title 20 CFR Section 680.120
In-School Youth	14-21 years old	WIOA Section 129(a)(1)(C)(ii)
Out-of-School Youth	16-24 years old	WIOA Section 129(a)(1)(B)(ii)

Refer to the *WIOA Title I Acceptable Documentation List* for a list of identification documents that can be used to satisfy this criterion.

3.3 Authorization to Work

Verifying Work Authorization

Many services provided through the WIOA Title I Adult, Dislocated Worker, and Youth programs may be delivered without proof of the participant's work authorization. Staff does not need to verify work authorization until the participant is moving into services that require such authorization. Local Areas must clearly explain what services they can and cannot provide to individuals who may not yet have work authorization documents, as well as clearly explain that employers will need work authorization documentation.

Services that do not Require Work Authorization

To ensure efficient delivery of services, Local Areas may deliver certain basic, individualized, and follow-up services without verifying an individual's work authorization. These services include, but are not limited to:

- Labor exchange services such as labor market information, career exploration, career guidance, resume writing assistance, and job search assistance.
- Information on worker rights and where to find legal assistance.
- Referrals to community resources such as transportation, childcare support, food assistance, housing assistance, medical assistance, and other similar resources.
- Individualized services such as career assessments, development of an individual employment plan, group counseling, one-on-one case management, career planning, information on foreign credential evaluation services and on obtaining credit for prior learning.
- Basic skills education, including English language instruction, and high school equivalency.
- Assistance in completing paperwork to finalize work authorization.

- Assistance in applying for an occupational license including the cost of such applications.
- Outreach to workers regarding the Employment-Related Law Complaint System and processing such complaints.

Services that do Require Work Authorization

Certain services, such as those directly linked to employment, employment outcomes, or services that provide a direct financial benefit, require verification of work authorization documentation. These services include, but are not limited to:

- Job placement.
- Occupational post-secondary training.
- Work experience.
- Supportive services that represent a direct financial benefit such as a voucher or reimbursement, relocation expenses, or needs-related payments.

The lists above provide examples of work authorization verification requirements for common services, however, these lists are not exhaustive. For more detailed information on services that can be provided with and without verification of work authorization documents, refer to TEGL 10-23.

Proof of Work Authorization

While a copy of the work authorization documentation is not required for the participant's file, staff should note in the case file that they have seen proof of the participant's employment authorization document. This confirmation ensures compliance with federal regulations and better serves employer customers who must verify authorization to work.

Refer to the *WIOA Title I Acceptable Documentation* List for a list of documentation that can be used for proof of work authorization.

Nondiscrimination Compliance

When verifying work authorization, Local Areas must comply with the non-discrimination regulations in accordance with WIOA Section 188[a][5] and must not discriminate on the basis of citizenship status when determining eligibility or access to services provided under a WIOA Title I-financially assisted program or activity. Discrimination includes, treating an individual differently from others in determining whether the individual satisfies any admission, enrollment, eligibility, membership, or other requirement or condition for any aid, benefit, service, or training provided under a WIOA Title I financially assisted program or activity.

Local Areas must have procedures in place for verifying an individual's authorization to work. Refer to TEGL 10-23 and WSD18-03 for more detailed information on establishing policy for verifying authorization to work.

(References: WIOA Section 188[a][5]; Title 29 CFR Sections 38.11 and 38.6[b][5]; Title 20 CFR Section 683.285[5]; TEGL 10-23; and WSD18-03)

Local policy for verifying authorization to work:

See LOCAL POLICY: “Authorization to Work: Pathways to Services, Referral and Enrollment Policy”

Chapter 4 – Priority of Service

4.1 Priority of Service Overview

Section 2(a) of the Jobs for Veteran’s Act (JVA) mandates priority of service for veterans and eligible spouses, including widows and widowers, who otherwise meet the eligibility requirements for participation in DOL-funded programs, including the WIOA.

Priority of service means that individuals who meets established priority requirements (covered persons) must be given priority over other individuals (non-covered persons) for the receipt of employment, training, and placement services. In the context of providing priority of service in a qualified job training program, such as the WIOA, priority of service means the right of a covered person to take precedence over a non-covered person. Depending on the type of service, taking precedence may mean that covered persons receive access to the service or resource sooner than non-covered persons, or if the service or resource is limited, the covered person receives access to the service or resource instead of, or before non-covered persons.

The WIOA is not an entitlement program and funding for WIOA programs is not unlimited. Individuals who do not meet priority of service criteria may still receive services under the WIOA when funding is available.

Detailed information about applying priority of service for participants is provided within this chapter.

(References: WIOA Sections 134(c)(3)(E) and 194(12); Title 38 USC Section 4215; Title 20 CFR Section 1010.200; TEGL 10-09 and TEGL 19-16; WSD19-04)

4.2 Veterans

Program operators must provide priority of service to veterans and eligible spouses for all WIOA-funded activities. While veterans and eligible spouses continue to receive priority of service among all eligible individuals, they must also meet eligibility criteria under the respective employment or training program. Verification of status for veterans and eligible spouses is not required until the veteran or eligible spouse undergoes an eligibility determination and is enrolled in a WIOA Title I program. Until the point of enrollment, a participant who states they meet the veterans’ priority eligibility criteria must be afforded veterans’ priority of service on the basis of self-attestation.

In implementing priority of service, program operators must ensure that veterans and eligible spouse receive the following:

- Basic career services and individualized career services before other non-covered individuals.
- First priority on waiting lists for training slots

- Are enrolled in training prior to non-covered persons.

Note – Once a non-covered participant is enrolled in a workshop or training class, priority of service is not intended to allow a veteran or eligible spouse to bump the non-covered participant from that class or service.

(References: WIOA Sections 134(c)(3)(E) and 194(12); Title 38 USC Section 4215; Title 20 CFR Section 1010.200; TEGL 10-09 and TEGL 19-16; WSD19-04)

Related Definitions

The following definitions are only for the purposes of implementing priority of service. Additionally, the definitions of “veteran” and “eligible spouse” used in this chapter are applicable to the priority of service requirement and are different from, and broader than, the definitions of “veteran” and “other eligible persons” applicable to services provided by the Disabled Veterans’ Outreach Program Specialist and Local Veterans’ Employment Representative staff.

Armed Forces – Includes the Army, Navy, Air Force, Marine Corps, Space Force, and Coast Guard.

Covered Persons – means any of the following individuals:

1. A veteran, including National Guard or Reserve personnel.
2. An eligible spouse.

Eligible Spouse - the spouse (including the same-sex spouse) of any of the following:

1. Any veteran who died of a service-connected disability.
2. Any member of the Armed Forces serving on active duty who, at the time of application for the priority, is listed in one or more of the following categories and has been so listed for a total of more than 90 days:
 - a. Missing in action.
 - b. Captured in the line of duty by a hostile force.
 - c. Forcibly detained or interned in the line of duty by a foreign power.
3. Any veteran who has a total disability resulting from a service-connected disability, as evaluated by the US Department of Veterans Affairs (VA).
4. Any veteran who died while a disability, (as indicated in category 3) was in existence.

A spouse whose eligibility is derived from a living veteran or service member would lose their eligibility if the veteran or service member were to lose the status that is the basis for the eligibility (e.g., if a veteran with a total service-connected disability were to receive a revised disability rating at a lower level). Similarly, for a spouse whose eligibility is derived from a living veteran or service member, that eligibility would be lost upon divorce from the

veteran or service member.

Non-Covered Person – Persons who are not a veteran or a veteran’s spouse as relating to priority of service application.

Qualified Job Training Program -- Any program or service for workforce preparation, development, or delivery that is funded in whole or in part by the DOL.

Veteran – A person who served at least one day in the active military, naval, or air service, and who was discharged or released under conditions other than dishonorable.

Local Policy and Procedures for Veteran’s Priority of Service

Local Boards must establish policies and procedures for implementing the priority of service requirement for veterans and eligible spouses. Local policies must ensure that veterans and eligible spouses are able to identify themselves at the point of entry and are given an opportunity to take full advantage of the priority. These policies must also ensure that veterans and eligible spouses are aware of the following:

- Entitlement to priority of service.
- The full array of employment, training, and placement services available under priority of service.
- Any applicable eligibility requirements for those programs and/or services.

For detailed information on veteran’s priority of service, refer to WSD19-04.

Local policy and procedures for implementing the priority of service requirement for veterans and eligible spouses:

See LOCAL POLICY: “WIOA Adult and Dislocated Worker Eligibility Criteria and Priority of Service Policy”

(References: Title 10 USC Section 101[4]; Title 38 USC Sections 101[2] and 4215[B]; WIOA Sections 134(c)(3)(E); 20 CFR Sections 1010.100, 1010.200 and 680.650; TEGL 10-09, 22-04, 22-04, Change 1 and 19-16; WSD19-04)

4.3 Adult Program

In addition to priority of service for veterans and eligible spouses, the Local Board must establish a process for individuals served in the adult program that gives priority of service to recipients of public assistance, other low-income individuals, and skills deficient individuals. This does not mean that only veterans, recipients of public assistance, low-income, and skills deficient individuals can receive WIOA-funded adult services. Individuals do not have to be a part of a priority population to be eligible for the adult program, however priority of service must be applied as prescribed in this chapter. Determination of an individual’s low-income

status is discussed in [Chapter 8](#) of this TAG.

In alignment with California’s Unified Strategic Workforce Development Plan (State Plan), Local Boards may also develop a policy to establish additional priority groups for their Local Area (e.g., residents of the Local Area, individuals with disabilities, formerly incarcerated and justice-involved, etc.) provided the local policy is consistent with priority of service for veterans and the priority provisions WIOA Section 134(c)(3)(E) and Title 20 CFR Section 680.600, and any additional populations identified for priority are not to be interpreted as additional eligibility criteria. Any additional priority populations identified by the Local Board should be reflected in the local policy.

(References: WIOA Section 134(c)(3)(E); Title 20 CFR Sections 680.650, 680.120, and 680.600[b]-[c], and 1010.200; TEGL 19-16; State Plan; WSD15-14 and WSD19-04)

Local criteria for applying and monitoring priority for service for recipients of public assistance, other low-income individuals, and skills deficient individuals within the Adult program:
See LOCAL POLICY: “WIOA Adult and Dislocated Worker Eligibility Criteria and Priority of Service Policy”

Local policy adding other priority group(s) (if applicable):
N/A

Written copies of local priority of service policies must be maintained at all service delivery points and, to the extent practicable, posted in a way that makes it possible for members of the general public to easily access the information.

4.4 Applying Priority of Service

The application of priority of service varies depending on the eligibility requirements of the particular program. The following describes how priority of service applies within certain types of DOL-funded programs:

- *Universal Access Programs*
Basic career services are universally accessible and must be made available to all individuals seeking employment and training services in at least one comprehensive AJCC per Local Area. While veterans and eligible spouses must receive priority of service over all other program participants, priority for low-income and basic skills deficient populations does not apply to basic career services in either the adult or dislocated worker programs.
- *Programs with Eligibility Criteria*
Eligibility criteria identify basic conditions a participant in a specific program must meet. For example, for the WIOA Title I Adult, Dislocated Worker, and Youth programs, every participant is required to meet the general program eligibility requirements listed in

[Chapter 3](#) of this TAG. A veteran or eligible spouse must first meet all of these eligibility criteria in order to be considered eligible for participation in the program. Once determined eligible for participation, the veteran or eligible spouse receives priority for participation in the program and receipt of services.

- *Programs with Statutory Priorities*

Some programs are required by law to provide a priority preference for a particular group of individuals or require the program to spend a certain portion of program funds on a particular group. One example is the Adult program priority for recipients of public assistance, other low-income individuals, and individuals who are basic skills deficient. Veterans and eligible spouses must first meet both the program’s eligibility criteria and statutory priority criteria to receive priority for participation in the program and receipt of services.

Note – For income-based eligibility and for priority of service determinations, many types of military service-related income are exempt, including any amounts received as military pay or allowances paid while on active duty, or paid by the VA for vocational rehabilitation disability payments, or related VA-funded programs. For detailed information on which types of military pay that are exempt, refer to TEGl 10-09, Attachment A.

Priority of Service Levels

Priority must be provided in the following order for programs that require it:

Levels of Priority of Service	
Priority 1	Veterans and eligible spouses who are also recipients of public assistance, other low-income individuals, or individuals who are basic skills deficient.
Priority 2	Individuals who are the recipient of public assistance, other low-income individuals, or individuals who are basic skills deficient.
Priority 3	Veterans and eligible spouses who are not recipients of public assistance, other low-income individuals, or individuals who are basic skills deficient.
Priority 4	Any individual who does not belong to one of the above groups but belongs to a priority population established by the Governor or Local Board.
Priority 5	All other individuals who do not meet the above priorities.

(References: TEGl 10-09 and TEGl 19-16; WSD15-14 and WSD19-04)

Chapter 5 - Eligibility Criteria for Career and Training Services

The WIOA authorizes the following services:

- Basic Career Services
- Individualized Career Services
- Training Services

While there are distinctions made between the types of services available (basic career services, individualized career services, and training services), individuals are not required to follow a sequence of services. Local Areas have the flexibility to provide services that best meet an individual's needs for their employment and career goals.

(References: WIOA Section 134(c)(2)(A); Title 20 CFR Section 678.430; TEGL 19-16)

5.1 Eligibility for Career Services

For staff-assisted basic career services and individualized career services, Local Boards must identify the assessments to be used to determine eligibility and ensure eligibility determination procedures are consistent with state policies. AJCC staff may use recent interviews, evaluations, or assessments by partner programs to determine if individualized career services would be appropriate. Include below the local policy and procedures to be used for assessments.

(References: WIOA Section 134(c)(2); Title 20 CFR Section 678.430; TEGL 19-16)

Local policy and procedures to be used for assessments for services:

See LOCAL POLICY: "WIOA Adult and Dislocated Worker Eligibility Criteria and Priority of Service Policy" under Career and Training Services and Basic Career Services Page 3 -5

5.2 Eligibility for Training Services

Training services include WIOA-funded and non-WIOA-funded partner training services. There is no sequence of service requirement for training. This means that AJCC staff may determine training is appropriate regardless of whether the individual has received any basic or individualized career services.

Before providing training services to adults and dislocated workers, AJCC staff must conduct an interview, evaluation, or assessment to determine if the individual meets any of the following criteria:

1. Unlikely or unable to obtain or retain employment that leads to economic self-sufficiency or wages comparable to or higher than wages from previous employment through career services alone.
2. In need of training services to obtain or retain employment that leads to economic self-sufficiency or wages comparable to or higher than wages from previous employment;

3. Has the skills and qualifications to successfully participate in the selected program of training services.
4. Unable to obtain grant assistance from other sources to pay the costs of such training, including such sources as state-funded training funds or Federal Pell Grants established under Title IV of the Higher Education Act of 1965, or requires WIOA assistance in addition to other sources of grant assistance.
5. A member of a worker group covered under a petition filed for TAA and is awaiting a determination. If the petition is certified, the worker may then transition to TAA approved training. If the petition is denied, the worker will continue training under the WIOA.
6. Selected a program of training services that is directly linked to the employment opportunities in the Local Area or region, or in another area to which the individual is willing to commute or relocate.
7. Determined eligible in accordance with the state and local priority system in effect for adults, if training services are provided through the Adult program funding stream.

Where appropriate, Local Boards are encouraged to use previous assessments when making training determinations to reduce duplicate assessments and develop enhanced alignment across partner programs. This could include common intake forms across partner programs to encourage system alignment, reduce individual burden, and ensure customers greater access to programs based on their need.

The case file must contain a determination of need for training services as determined through the interview, evaluation, or assessment, and career planning informed by local labor market information and training provider performance information, or through any other career service received. There is no requirement that career services be provided as a condition to receipt of training services; however, if career services are not provided before training, the Local Board must document the circumstances that justified its determination to provide training without first providing such services. There is no required minimum time period for an individual to participate in career services before receiving training service.

(References: WIOA Section 134(c)(3); Title 20 CFR Sections 680.210 and 680.220; TEGL 19-16)

5.3 Self-Sufficiency

In addition to providing career and training services to individuals who are unemployed, a significant number of job seekers are underemployed. Examples of underemployed individuals may include individuals who are any of the following:

- Employed less than full-time who are seeking full-time employment.
- Employed in a position that is inadequate with respect to their skills and training.
- Employed who meet the definition of a low-income individual in WIOA Section 3(36).
- Employed, but whose current job's earnings are not sufficient compared to their

previous job's earnings.

To receive career or training services, employed adults and dislocated workers must be determined to be in need of those services to obtain or retain employment that allows for self-sufficiency. Local Boards must set criteria for determining whether employment leads to self-sufficiency. ***At a minimum, such criteria must provide that self-sufficiency means employment that pays at least 100 percent of the lower living standard income level (LLSIL) established for a Local Area.***

When setting criteria to determine self-sufficiency, Local Boards should consider different local conditions such as family size, an area's cost of living, and other local economic conditions. It may often occur that dislocated workers require a wage higher than the lower living standard income level to maintain self-sufficiency.

Local Boards may also consider the special needs of individuals with disabilities or other barriers to employment when setting criteria to determine self-sufficiency. This provision helps ensure that career and training services are provided to those employed individuals who are the most in need of services. These may include individuals employed in low skill/low wage jobs.

Local Boards may develop two sets of criteria for self-sufficiency: one for adults and another for dislocated workers. Self-sufficiency for dislocated workers may be defined in relation to a percentage of the layoff wage.

(References: Title 20 CFR Section 680.210; TEGL 19-16; WSD23-02)

Enter local criteria for self-sufficiency below:

Local criteria for self-sufficiency:

See LOCAL POLICY: "WIOA Adult and Dislocated Worker Eligibility Criteria and Priority of Service Policy" under Self-Sufficiency Page 8 & 10

Using WIOA Assistance in Addition to Other Sources of Grant Assistance

WIOA funding for training is limited to participants who are unable to obtain grant assistance from other sources to pay the cost of their training or who require assistance beyond that which is available under grant assistance from other sources to pay the cost of such training. Programs and training providers must coordinate funds available to pay for training. In making the determination of need for WIOA assistance, Local Areas may take into account the full cost of participating in training, including support services and any other appropriate costs.

(References: Title 20 CFR Section 680.230; TEGL 19-16)

5.4 Local Procedures and Eligibility Criteria

In contrast to Work First programs, which hold the philosophy that any job is a good job and

that the best way to succeed is to enter the labor market, the WIOA encourages Local Boards to offer higher-quality education and training options that go beyond job search.

The State Plan describes the state’s commitment to a High Road workforce development approach. The High Road system centers on meaningful industry engagement and placement of Californians in quality jobs that provide economic security. A key principle of the High Road approach is job quality by building the skills of the existing workforce and bringing new workers to the associated industry sector(s). Orienting the workforce development system toward job quality serves job seekers and workers by placing them in employment that allows them to sustain a high quality of life for themselves, their families, and broader community that depends on their earnings.

Local Boards may not adopt a “work first” approach in developing local procedures and eligibility criteria for individualized and training services. Locally developed procedures and criteria must be designed to provide employment and training opportunities to those who can benefit from, and who are most in need of, such opportunities. The appropriate mix and duration of services should be based on each participant’s unique needs.

In developing local procedures and eligibility criteria for individualized and training services, WIOA-funded Adult and Dislocated Worker services may not duplicate or supplant services traditionally funded by the Wagner-Peyser Act (this does not preclude cooperative efforts among AJCC partners to provide seamless and comprehensive services to customers.)

When reviewing a participant’s eligibility for basic career staff-assisted, individualized career, and training services, federal and state auditors and monitors will review a participant’s eligibility for services against each Local Board’s eligibility policy, procedures, and definitions. Local eligibility policies and procedures must comply with federal and state requirements.

(Reference: WIOA Section 194)

Include local policy and procedures for determining eligibility for services below:

Local policy and procedures for determining eligibility for training services: See LOCAL POLICY: Individual Training Account (ITA) Policy

Chapter 6 - Eligibility Criteria for Dislocated Workers

To be eligible to receive dislocated worker services, an individual must meet the general WIOA eligibility criteria listed in [Chapter 3](#) of this TAG and the criteria included in at least one of the dislocated worker categories outlined in this chapter. Local policy, procedures and definitions may be established wherever there is flexibility authorized by the WIOA and regulations.

Once an individual is enrolled as a dislocated worker, the individual retains their eligibility as a dislocated worker until exited from the program regardless of employment status or earnings (e.g., an anticipated lay off or termination does not take place). If a participant becomes employed in a full-time, permanent job that pays a wage defined by the Local Board as [self-sufficient or leading to self-sufficiency](#), the participant may continue to be served, as needed, until they are formally exited from the program. However, if it is expected that the participant will not receive any future services, follow-up services may begin immediately following placement into unsubsidized employment.

(Reference: TEGL 10-16, Change 3)

6.1 Determining Dislocated Worker Status

In order to receive services as a dislocated worker, an individual must meet one of the following five criteria:

1. **General Dislocation.** An individual must meet all of the following criteria:
 - a. An individual who was terminated or laid off, or who received a notice of termination or layoff, from employment. This includes a separation notice, under other than dishonorable conditions, from active military service.
 - b. Meets either of the following conditions:
 - (i) Is eligible for or has exhausted entitlement to unemployment compensation.
 - (ii) Has been employed for a duration sufficient to demonstrate attachment to the workforce, but is not eligible for unemployment compensation due to insufficient earnings or because they performed services for an employer not covered under a state unemployment compensation law.
 - c. Is unlikely to return to a previous industry or occupation.
2. **Dislocation from Facility Closure/Substantial Layoff.** An individual must meet criteria a **or** b **or** c.
 - a. An individual who was terminated or laid off, or who received a notice of termination or layoff, from employment as a result of any permanent closure of, or substantial layoff at, a plant, facility, or enterprise.
 - b. An individual employed at a facility at which the employer made a general announcement that such facility will close within 180 days.

- c. For purposes of eligibility for services other than training services included in WIOA Section 134(c)(3), career services included in WIOA Section 134(c)(2)(A)(xii), or supportive services, an individual must be employed at a facility at which the employer made a general announcement that such facility will close.
3. **Self-employed Dislocation.** An individual who was self-employed (including farmers, ranchers, fishermen, independent contractors, and consultants) but is unemployed as a result of general economic conditions in the community in which the individual resides or because of a natural disaster.
4. **Displaced Homemaker.** An individual who has been providing unpaid services to family members in the home and meets criteria a **and** b:
- a. Meets either of the following conditions:
 - (i) Has been dependent on the income of another family member, but is no longer supported by that income (e.g., because the other family member was laid off, or because of death or divorce).; or
 - (ii) Is the dependent spouse of a member of the US Armed Forces on active duty and whose family income has been significantly reduced because of the service member's deployment, call or order to active duty, permanent change of station, or service-connected death or disability.
 - b. Is unemployed or underemployed and having trouble obtaining or upgrading employment.
5. **Spouse of Military Service Member.** A spouse of a member of the Armed Forces on active duty who meets either criteria a **or** b:
- a. Has experienced a loss of employment as a direct result of relocation to accommodate a permanent change in the service member's duty station.
 - b. Is unemployed or underemployed and having trouble obtaining or upgrading employment.

(References: WIOA Sections 3(15)-(16); Title 20 CFR Sections 680.130, 680.660, and 682.305; TEGL 19-16)

Refer to Section 6.2 for local definitions and standards for the eligibility criteria.

6.2 Definitions and Standards for Eligibility Criteria

The DOL defers to states and Local Boards to define certain eligibility terms. This chapter provides recommendations for defining these terms. Local Boards need to establish definitions to use in determining an individual's eligibility as a dislocated worker so long as the definitions are consistent with the dislocated worker definition in WIOA Section 3(15).

(Reference: Title 20 CFR Section 680.130)

Attachment to the Workforce – “Attachment to the workforce” is not defined in the WIOA or DOL regulations. Local Boards may define this term to assist staff in identifying dislocated workers who are not eligible for unemployment compensation but who have been employed for a duration sufficient to demonstrate an attachment to the workforce (e.g., someone who has worked at least three consecutive months during the last 12 months). Verification of attachment to the workforce is only necessary when an applicant was employed by an employer who is not covered under UI or has not worked a sufficient amount of time to qualify for UI.

Additionally, a separating service member who was discharged or released under conditions other than dishonorable, has demonstrated attachment to the workforce.

(References: WIOA Section 3(15)(A)(ii); Title 20 CFR Section 680.660)

Local definition of attachment to the workforce:

See LOCAL POLICY: “WIOA Adult and Dislocated Worker Eligibility Criteria and Priority of Service Policy” under Dislocated Worker Program Eligibility Page 6-8

Difficulty in Obtaining or Upgrading Employment – The “difficulty in obtaining or upgrading employment” criterion is used as part of the displaced homemaker criteria but is not defined in the WIOA or regulations. Local Boards may define this term in order to assist staff in identifying displaced homemakers.

Local definition for difficulty in obtaining or upgrading employment:

See LOCAL POLICY: “WIOA Adult and Dislocated Worker Eligibility Criteria and Priority of Service Policy” under Displaced Homemaker Page 8

General Announcement – “General announcement” of intended layoff is not defined in the WIOA or DOL regulations. Local Boards may establish criteria for this term. Local criteria must require a credible source of information, or a documented confirmation from the employer (e.g., a newspaper article, a memorandum from the employer to all employees, a notice posted in the affected facility, notification to applicable labor unions, or any other forms of public notice).

Local criteria for general announcement:

See LOCAL POLICY: “WIOA Adult and Dislocated Worker Eligibility Criteria and Priority of Service Policy” under Dislocation for Facility Closure/Substantial Layoff/Mass Layoff section b, Page 7

General Economic Conditions – “General economic conditions” is not defined in the WIOA or DOL regulations. Local Boards may establish criteria for this term. Local criteria may include, among other conditions, self-employment which locally has little demand or has been declining,

or the local economy is declining.

Local criteria for general economic conditions:

See LOCAL POLICY: “WIOA Adult and Dislocated Worker Eligibility Criteria and Priority of Service Policy” under Self-Employed Dislocation, Page 7

Substantial Layoff – “Substantial layoff” is not defined in the WIOA or DOL regulations. The Worker Adjustment and Retraining Notification (WARN) protects employees and communities by requiring that employers give a 60-day notice to the affected employees and both state and local representatives prior to a plant closing or mass layoff. In California, the threshold for WARN notification, which can be interpreted as a substantial layoff, is any plant closure affecting any number of employees, or a layoff of 50 or more employees within a 30-day period regardless of the percentage of the workforce, or relocation of at least 100 miles affecting any number of employees.

Unlikely to Return – “Unlikely to return” is not defined in the WIOA or DOL regulations. Local Boards may define “unlikely to return” to assist staff in identifying dislocated workers. Any of the following considerations may be helpful in defining “unlikely to return”:

- Worked in a declining industry/occupation, as documented on state or locally-developed lists of such industries/occupations. State lists are available from the EDD’s Labor Market Information Division. Local lists must be developed by an appropriate entity, such as the Chamber of Commerce, Local Board, economic development agency, a qualified consultant/educational entity, or other valid public use quality source of labor market information.
- Has had a lack of job offers as documented by local EDD Workforce Services or UI staff, rejection letters from employers in the area, or other documentation of unsuccessful efforts to obtain employment in the prior industry/occupation.
- Worked in an industry/occupation with limited job orders in the CalJOBS system at the time of eligibility determination, as certified by staff.
- Is insufficiently educated and/or does not have the necessary skills for reentry into the former industry/occupation, as documented through the assessment of the individual’s educational achievement, testing, or other suitable means.
- Has physical or other challenges which would preclude reentry into the former industry/occupation, as documented by a physician or other professional (e.g., psychiatrist, psychiatric social worker, chiropractor, etc.).

“Unlikely to return” may be defined in terms of family, personal, or financial circumstances that may affect the likelihood of the individual returning to their previous occupation or industry for employment. Local definitions need not be based solely on economic conditions and job availability.

If an individual is likely to return to their previous industry or occupation, they should not be

served as a dislocated worker during the period leading up to the date of their return to work but may be served in the adult program.

(References: Title 20 CFR Section 680.130; TEGL 19-16)

Farmworkers, Ranchers, and Fishermen – Individuals that may have worked seasonally can be considered “unlikely to return” to work in a previous industry or occupation for a variety of reasons:

1. Change in family situation that requires higher income.
2. Disability that precludes returning to the same occupation.
3. Natural disaster that results in lost wages.
4. Loss of agricultural land.
5. Mechanization.
6. Any significant variance to normal seasonal employment patterns resulting in uncertain return-to-work dates.

Additionally, permanent closures or a substantial layoff from agricultural enterprises or facilities such as packaging or canneries are not excluded from the standard under the WIOA. The WIOA Section 3(15)(C) standard regarding those that were self-employed includes employment as farmers, ranchers, or fishermen and are unemployed due to economic conditions that resulted from general economic conditions in the community in which the individual resides or because of natural disasters. These conditions may include extreme or unusual weather patterns and agricultural and fishing market downturns.

Profiled and Referred UI Claimants – UI profiling refers to a process which uses an automated system to identify claimants likely to exhaust regular UI benefits. After a UI claim is filed and a first payment is made, the EDD’s Worker Profiling and Reemployment Services System identifies claimants likely to exhaust their benefits and refers those claimants to the mandatory Reemployment Services and Eligibility Assessment (RESEA) through the CalJOBS system.

The Governor has determined that the UI profiling methodology and referral process meets the dislocated worker eligibility criteria in WIOA Section 3(15). In this instance, if an individual receives an RESEA appointment then no further documentation is needed to establish the “unlikely to return” criterion at WIOA 3(15)(A)(iii).

(Reference: Title 20 CFR Section 680.130[b][3])

Local definition of unlikely to return:

Status of an unemployed worker or military spouse as having limited opportunities for employment or re-employment. Examples include but are not limited to:

1. **Worked in a declining industry/occupation**
2. **Has had a lack of job offers as documented by local EDD Workforce Services or UI staff, rejection letters from employers in the area, or other documentation of unsuccessful**

- efforts to obtain employment in the prior industry/occupation.**
- 3. Worked in an industry/occupation job for which there are limited job orders in the CalJOBS system at the time of eligibility determination, as certified by EDD or AJCC staff.**
 - 4. Is insufficiently educated and/or does not have the necessary skills for reentry into the former industry/occupation.**
 - 5. Has physical or other challenges, which would preclude reentry into the former industry/occupation, as documented by a physician or other professional (e.g., psychiatrist, psychiatric social worker, chiropractor, etc.)**
- A military spouse who leaves his/her job to follow his/her spouse to a new duty assignment (includes Recently Separated Service Members).**

6.3 Layoffs, Furloughs, Temporary Layoffs, and Lockouts

Layoff – The permanent or temporary termination of employment of an employee due to a position being abolished, insufficient funds, lack of work, or any other reason not reflecting discredit on the employee (such as dismissal for inadequate performance, violation of workplace rules, cause, etc.).

Furlough – The placing of an employee in a temporary status without duties and pay because of lack of work or funds or other non-disciplinary reasons (Title 5 USC Section 7511[a][5]). As such, it is a temporary termination of employment or layoff.

Individuals that are furloughed are laid off. Depending on the local definition of “unlikely to return,” the circumstances of the applicant, and local economic conditions, furloughed individuals may or may not be likely to return to their previous industry or occupation. Local Areas are in the best position to make this determination. If these individuals are likely to return to their previous industry or occupation and need more than basic career services, they may be served as adults, provided they meet the general eligibility criteria outlined in [Chapter 3](#) of this TAG. In some cases, a business, company, or corporation’s furloughs are in fact substantial layoffs and the “unlikely to return” provision does not apply. Local Boards have the discretion to define substantial layoff since the definition depends on local economic conditions.

Lockout – Any refusal by an employer to permit any group of five or more employees to work as a result of a dispute with such employees affecting wages, hours, or other terms or conditions of employment of such employees (California Labor Code Section 1132.8). A lockout does not terminate the employer-employee relationship, so locked out employees are not eligible dislocated workers since they have not been terminated or laid off, they are not eligible for unemployment compensation, and they are likely to return to the same industry or occupation once the dispute is resolved. The same guidance applies to employees that are on strike. There may be locked out employees who for financial reasons seek other employment. These individuals may be served as adults, provided they meet the general eligibility criteria outlined

in [Chapter 3](#).

6.4 Veterans and Military Spouses

Veterans

One of the criteria used to establish eligibility for a dislocated worker is determining whether the worker was terminated or laid-off. Per the DOL, a separating service member with a discharge that is anything other than dishonorable qualifies the individual for dislocated worker activities provided:

1. The separating service member has received a DD Form 214 Report of Separation or other documentation showing a separation or imminent separation from the Armed Forces to satisfy the termination or layoff part of the dislocated worker eligibility criteria.
2. The separating service member qualifies for the dislocated worker eligibility criteria on eligibility for or exhaustion of unemployment compensation.
3. As a separating service member, the individual meets the dislocated worker eligibility criteria that the individual is unlikely to return to the previous industry.

(Reference: Title 20 CFR Section 680.660)

Military Spouses

The WIOA expanded the definition of dislocated workers and displaced homemakers to include military spouses. A military spouse qualifies for dislocated worker activities provided the following:

1. The military spouse meets the WIOA general eligibility criteria; and
2. The military spouse has experienced a loss of employment as a direct result of relocation to accommodate a permanent change in duty station of the spouse, **or** is unemployed or underemployed and experiencing difficulty in obtaining or upgrading employment; or
3. The military spouse's family income is significantly reduced because of any of the following:
 - a. A deployment.
 - b. A call to active duty.
 - c. A permanent change of station.
 - d. The service-connected death or disability of the service member.

(References: WIOA Sections 3[15][E] and 3[16][A]; TEGL 19-16)

The term "whose family income is significantly reduced" is not defined in the WIOA or the DOL regulations. Local Boards may establish criteria for this term.

Local criteria for significantly reduced family income for veterans and military spouses: **Under the Workforce Innovation and Opportunity Act (WIOA), military spouses may qualify as dislocated workers if their family income is significantly reduced due to specific military-related events. In California, the Employment Development Department (EDD) outlines that a military spouse's family income is considered significantly reduced if affected by:**

- **Deployment:** The service member's deployment leading to a decrease in family income.
- **Call to Active Duty:** Activation of a National Guard or Reserve member resulting in reduced family income.
- **Permanent Change of Station (PCS):** Relocation orders causing the spouse to leave employment, thereby reducing family income.
- **Service-Connected Death or Disability:** The service member's death or disability impacting the family's financial situation.

Military spouses also can qualify if they are unemployed or underemployed and are experiencing difficulty in obtaining or upgrading employment.

When past income is an eligibility determinant to receive WIOA funded services, any amounts received as military pay or allowances by any person who served on active duty, and certain other specified benefits must be disregarded for the veteran and for other individuals for whom those amounts would normally be applied in making an eligibility determination. Military earnings are not to be included when calculating income for veterans or transitioning service members for this priority.

6.5 Related Definitions

Active Duty – Full-time duty in the active military service of the United States. Active duty includes full-time training duty, annual training duty, and attendance, while in the active military services, at a school designated as a service school by law or by the Secretary of the military department concerned. Such term does not include full-time National Guard duty. (Reference: Title 10 USC Section 101[d][1])

Armed Forces – Includes the Army, Navy, Air Force, Marine Corps, Space Force, and Coast Guard. (Reference: 10 USC Section 101[4])

Employment Status – This following information is to be collected directly from the individual, not from wage records.

1. Employed
 - a. Did any work at all as a paid, unsubsidized employee on the date participation occurs.
 - b. Worked 15 hours or more in an unpaid job on a farm or business operated by a family member. (Reference: TEGL 10-16, Change 3, Attachment 1)

2. Employed, but received notice of termination or military separation
 - a. Has received a notice of termination of employment or the employer has issued a Worker Adjustment and Retraining Notification (WARN) or other notice that the facility or enterprise will close.
 - b. Is a transitioning service member.
3. Not Employed
 - a. Those that do not meet any one of the conditions described above.
4. Underemployed
 - a. Individuals employed less than full-time who are seeking full-time employment.
 - b. Individuals who are employed in a position that is inadequate with respect to their skills and training.
 - c. Individuals who are employed and meet the definition of low-income.
 - d. Individuals who are employed, but whose current job earnings are not sufficient compared to their previous job earnings from their previous employment.

(Reference: TEGL 19-16)

Military Spouse – An individual who is married to a member of the Armed Forces on active duty or the surviving spouse of an active-duty service member who lost their life while on active-duty service. Consistent with TEGL 26-13, the definition of “military spouse” includes same-sex spouses.

Chapter 7 - Additional Eligibility Criteria for Youth

To be eligible to receive youth services, an individual must meet the general WIOA eligibility criteria listed in [Chapter 3](#) of this TAG and the additional program eligibility criteria included in this chapter. Local policy, procedures and definitions may be established wherever there is flexibility authorized by the WIOA and DOL regulations.

Local Boards are required to provide case management to all youth participants. Case management is the act of connecting youth to appropriate services and begins at the point of enrollment and must continue throughout program participation and follow-up. Note that, case management is not a program element and does not extend a youth's participation.

Local Boards must provide each participant with information on all applicable or appropriate services that are available through the AJCC system that support the participant's individual service strategy and ensure participants receive referrals to appropriate training and educational programs that have the capacity to serve the participant.

(References: WIOA Sections 129(c)(3)(A)(i) and (ii); 20 Title CFR Section 681.420(a)(3); TEGL 21-16)

7.1 Eligibility for Out-of-School Youth Services

To receive services as an OS youth, an individual must meet the following requirements.

Eligible OS Youth – Meets the definition provided below:

1. Not less than 16 years of age and not more than 24 years of age.
2. Not attending any secondary or postsecondary school.
3. Has one or more of the following barriers in the table below:
A youth only needs to meet one of these barriers. However, Local Areas should document all barriers that apply.

The following table provides clarity on the requirements to meet OS youth eligibility:

Definition of Barriers for Eligibility of OS Youth:	
Barrier 1	A school dropout.
Barrier 2	Within the age of compulsory school attendance but has not attended school for at least the most recent complete school year quarter.
Barrier 3	A recipient of a secondary school diploma or its recognized equivalent who is a low-income individual <u>and</u> is either basic skills deficient or an English language learner.
Barrier 4	An offender.
Barrier 5	<ul style="list-style-type: none"> • A homeless individual. • A runaway.
Barrier 6	<ul style="list-style-type: none"> • In foster care. • Has aged out of the foster care system. • Has attained 16 years of age and left foster care for kinship, guardianship, or adoption. • A child eligible for assistance under Section 677 of the Social Security Act (42 USC Section 677). • In an out-of-home placement.
Barrier 7	Pregnant or parenting (including custodial and non-custodial parents).
Barrier 8	A youth with a disability.
Barrier 9	A low-income individual who requires additional assistance to enter or complete an education program or to secure or hold employment.

(References: Title 20 CFR Section 681.210; WSD17-07)

7.2 Eligibility for In-School Youth Services

To receive services as an in-school (IS) youth, an individual must meet the following requirements.

Eligible IS Youth – Meets the definition provided below:

1. Is not less than 14 years of age and not more than 21 years of age (Youths with disabilities who are in an individualized education program at the age of 22 may be enrolled as an IS youth).
2. Attending school, including secondary and postsecondary school.
3. Low-income individual.

4. Has one or more of the following barriers:

A youth only needs to meet one of the IS youth barriers in the table below, however Local Areas should document all barriers with the following exception: *IS youth who require additional assistance to complete an education program or secure or hold employment.*

The following table provides clarity on the requirements to meet IS youth eligibility.

Definition of Barriers for Eligibility of IS Youth:	
Barrier 1	Basic skills deficient.
Barrier 2	An English language learner.
Barrier 3	An offender.
Barrier 4	<ul style="list-style-type: none"> • A homeless individual. • A runaway.
Barrier 5	<ul style="list-style-type: none"> • In foster care. • Has aged out of the foster care system. • Has attained 16 years of age and left foster care for kinship, guardianship, or adoption. • A child eligible for assistance under Section 677 of the Social Security Act (42 USC Section 677). • In an out-of-home placement.
Barrier 6	Pregnant or parenting (including custodial and non-custodial parents).
Barrier 7	A youth with a disability.
Barrier 8	<p>A low-income youth who requires additional assistance to complete an education program or to secure or hold employment.</p> <p>Note: No more than five percent of IS youth enrolled in a given program year may be found eligible based solely on meeting this category. WIOA Youth programs should only report IS youth as having this barrier if it is their only barrier, and therefore the barrier is used for eligibility determination.</p>

(References: WIOA Section 129(a)(3); Title 20 CFR Sections 681.220 and 681.310; TEGL 09-22; WSD17-07)

Five Percent Limitation for In-School Youth Eligibility

In each Local Area, not more than five percent of the IS youth newly enrolled in a given program year (PY) may be eligible based on the “requires additional assistance to complete an educational program or to secure or hold employment” criterion. To ensure as many IS youth as possible are served, Local Areas should only report a youth as having this barrier if it is their only barrier.

Note – The five percent limitation for IS youth who require additional assistance is not the same as the five percent low-income exception.

(Reference: TEGL 09-22)

7.3 Five Percent Low-Income Eligibility Exception

The WIOA maintains a five percent low-income eligibility exception under which five percent of Local Area youth who ordinarily would need to be low-income do not need to meet the low-income provision. A program must calculate the five percent based on the percent of newly enrolled youth in the Local Area’s WIOA youth program in a given PY who would ordinarily be required to meet the low-income requirement.

To determine whether a youth must be low-income in order to qualify for the youth program, the Local Area should first consider whether the participant is an OS youth or IS youth. The low income requirement applies only to OS youth with certain barriers (see the section on Eligibility for OS Youth), whereas all IS youth must be low income unless the five percent eligibility exception is applied.

The five percent low-income exception may include OS youth, IS youth, or a combination of both, but must not exceed five percent of all WIOA youth participants served in a given PY.

(References: Title 20 CFR Section 681.250; WSD17-07)

7.4 Local Definitions and Eligibility Criteria

Basic Skills Deficient – Meets the following definition with respect to an individual:

1. A youth who has English, reading, writing, or computing skills at or below the 8th grade level of a generally accepted standardized test.

In assessing basic skills, local programs must use assessment instruments that are valid and appropriate for the target population, and must provide reasonable accommodation in the assessment process, if necessary, for individuals with disabilities.

2. A youth or adult who is unable to compute or solve problems, or read, write, or speak English at a level necessary to function on the job, in the individual’s family, or in

society.

Criteria used to determine whether an individual is basic skills deficient includes the following:

- **Lacks a high school diploma or high school equivalency and is not enrolled in secondary education.**
- **Enrolled in a Title II Adult Education/Literacy program.**
- **English, reading, writing, or computing skills at an 8.9 or below grade level.**
- **Determined to be Limited English Skills proficient through staff-documented observations.**
- **Other objective criteria determined to be appropriate by the Local Area and documented in its required policy.**

Refer to the *WIOA Acceptable Documentation List* for more detailed information on determining whether an individual meets the basic skills deficient criterion.

The WIOA and DOL regulations do not further define the level of literacy necessary to function on the job, in the individual’s family, or in society. Survival skills such as computer skills, balancing a checkbook, budgeting a family’s income, or filing a tax return, may be considerations when defining these areas. Such definitions may provide greater flexibility in achieving basic skills goals for an individual who is basic skills deficient and may have difficulty achieving an 8th grade level of literacy within a specified program period.

Describe the local definition and eligibility documentation requirements for “deficient in basic literacy skills” below:

Local definition of deficient in basic literacy skills:

See LOCAL POLICY: “WIOA Adult and Dislocated Worker Eligibility Criteria and Priority of Service Policy” under Definitions, Page 2

(References: WIOA 3[5]; Title 20 CFR Section 681.290; WSD15-14)

Use of Funds for Youth Who Require Additional Assistance

Section 7.2 describes the five percent limitation for IS youth where no more than five percent of IS youth in a given PY may be found eligible based on the criterion “requires additional assistance to complete an educational program or to secure or hold or to secure or hold employment.”

For OS youth, the criterion is similar, but the provision is changed to add the phrase “to enter”: an individual who requires additional assistance to enter or complete an educational program or to secure or hold employment.

Local Boards are responsible for establishing local definitions and eligibility documentation requirements for “requires additional assistance” as it relates to both OS youth and IS youth. The local policy should be reasonable, quantifiable, and based on evidence that the specific characteristic of the youth identified in the policy objectively requires additional assistance. As outlined in the State Plan, examples could include, but are not limited to, the following:

- Have repeated at least one secondary grade level or are one year over age for grade.
- Have a core grade point average (GPA) of less than 1.5.
- For each year of secondary education, are at least two semester credits behind the rate required to graduate from high school.
- Are emancipated youth.
- Have aged out of foster care.
- Are previous dropouts or have been suspended five or more times or have been expelled.
- Have received court/agency referrals mandating school attendance.
- Are deemed at risk of dropping out of school by a school official.
- Have been referred to or are being treated by an agency for a substance abuse related problem.
- Have experienced recent traumatic events, are victims of abuse, or reside in an abusive environment as documented by a school official or other qualified professional.
- Have serious emotional, medical or psychological problems as documented by a professional.
- Have never held a job (applies to older youth).
- Have been fired from a job within the 12 months prior to application (applies to OS youth).
- Have never held a full-time job for more than 13 consecutive weeks (applies to OS youth).

Again, the eligibility criterion is slightly different for IS youth and OS youth, in that the criterion for OS youth contains the phrase “to enter or complete an education program” while the IS youth language states “to complete an education program.” The DOL determined that the difference is significant enough to require Local Areas to establish definition of “requires additional assistance” for both OS youth and IS youth.

(References: Title 20 Sections 681.300 – 681.310; WSD17-07)

Include the local definition for an IS youth who “requires additional assistance” below:

An individual who requires additional assistance to complete an educational program, or to secure and hold employment:

See LOCAL POLICY: “ICWDB WIOA Youth Services Policy” under Requires Additional Assistance, page 8-9

Include the local definition for an OS youth who “requires additional assistance” below:

An individual who requires additional assistance to enter or complete an educational program, or to secure and hold employment:

See LOCAL POLICY: “ICWDB WIOA Youth Services Policy” under Requires Additional Assistance, page 8-9

Dropout – School dropouts and youth who are within the age of compulsory school attendance but have not attended school for at least the most recent complete school year quarter are two separate barriers but share many of the same characteristics. The confusion for a youth within compulsory school age is determining an exact date for when dropout occurred or if instead the Local Area must wait one school quarter for the youth to qualify for the OS youth program.

Neither the California Department of Education (CDE) nor the US Department of Education (DOE) have established a set number of days a student must miss before the school determines dropout status. Instead, the CDE and DOE define dropout for the purpose of annual statistics. Local educational agencies are responsible to report student exit codes for annual dropout rate statistics to the CDE (California Education Code 48070.6). This means Local Areas working with school districts are in the best position to determine dropout status.

Note that a school district may designate a youth as a dropout prior to the end of a school quarter, which would qualify the youth as a dropout. Conversely, a school district may not report dropout status well past a school quarter, in which case the youth may qualify under the compulsory school age barrier.

Compulsory School Age – Compulsory school attendance in California is between the ages 6 and 18. On a youth’s 18th birthday, the youth is no longer subject to compulsory attendance, but they may continue to attend school until high school graduation. The CDE strongly recommend that 18-year-old high school students finish their high school education, but it is not a legal mandate for them to attend after their 18th birthday. (Reference: Education Code [EC] Section 48200) A youth who chooses not to attend school after their 18th birthday may be reported as a dropout.

The following table will help Local Areas distinguish these two barriers:

Dropout	Within compulsory school age
<ul style="list-style-type: none"> • Age 16-24 years old 	<ul style="list-style-type: none"> • Age 16-17 years old
<ul style="list-style-type: none"> • Not attending school 	<ul style="list-style-type: none"> • Not attending school
<ul style="list-style-type: none"> • Has not received a secondary diploma or GED 	<ul style="list-style-type: none"> • Has not received a secondary diploma or GED
<p>No specific wait time:</p> <ul style="list-style-type: none"> • The school district identifies a youth who is under 18 years of age as a dropout. • The youth is 18 - 24 years old and self-attests to dropout status. This includes 18-year-olds who attend school on the day previous to dropping out. 	<p>Must not have attended school for a school/calendar quarter.</p>

Truancy – California defines truancy as being absent from school without a valid excuse three full days in one year or tardy or absent more than any 30-minute period during the school day without a valid excuse on three occasions in one year, or any combination thereof, unless excused or exempted. A truant (and also a dropout) is in violation of California’s compulsory school attendance laws and is subject to arrest, and the parents are subject to infraction fines. Additionally, a school district is not permitted to sanction violation of truancy laws by issuing a youth a work permit. A truant (and also a dropout) is subject to arrest, and the parents are subject to infraction fines if the minor is found working without a work permit.

(References: EC Sections 48260, 48264, 48293, and 49112)

7.5 Youth Related Definitions

Attending School – an individual is considered to be attending school if the individual is enrolled in secondary or postsecondary school. If a youth is between high school graduation and postsecondary education, the youth is considered an IS youth if they are registered for postsecondary education, even if they have not yet begun postsecondary classes. However, if the youth registers for postsecondary education, but does not follow through with attending classes, the youth is considered OS youth if the eligibility determination is made after the youth decided not to attend postsecondary education. Youth on summer break are considered IS youth if they are enrolled to continue school in the fall.

Not Attending School – an individual who is not attending a secondary or postsecondary school. In addition, individuals enrolled in the following programs would be considered an OS youth for eligibility purposes:

- WIOA Title II Adult Education, YouthBuild, Job Corps, high school equivalency program, or dropout re-engagement programs. However, youth attending high school equivalency programs funded by the public K-12 school system who are classified by the school system as still enrolled in school are the exception; they are considered IS youth.
- Non-credit bearing postsecondary classes only.
Note – Youth enrolled in any credit-bearing postsecondary education classes, including credit-bearing community college classes and credit-bearing continuing education classes, then they are considered attending postsecondary education, and, therefore, an IS youth.
- ***A charter school program that provides instruction exclusively in partnership with WIOA, federally-funded YouthBuild programs, federal Job Corps training, instruction, California Conservation Corps or a state certified local conservation corps (in alignment with EC Section 47612.1).***

More detailed guidance related to determining an individual’s school status can be found in TEGL 21-16.

(References: 20 CFR Sections 681.210-681.230; TEGL 21-16; State Plan; WSD17-07)

Offender – Any adult or juvenile who meets the following criteria:

1. Is or has been subject to any stage of the criminal justice process, for whom services under the WIOA may be beneficial.
2. Requires assistance in overcoming artificial barriers to employment resulting from a record of arrest or conviction.

(Reference: WIOA Section 3[38])

Out-of-Home Placement – Encompasses the placements and services provided to youths and families when a youth must be removed from their homes because of safety concerns, as a result of serious parent-youth conflict, or to treat serious physical or behavioral health conditions which cannot be addressed within the family. Youths in out-of-home care may live in a number of possible settings. These include kinship or relatives' homes, family foster homes, treatment foster homes, or group or residential care. (US Department of Health and Human Services information)

Pregnant or Parenting Youth – An individual who is pregnant or a custodial or non-custodial parent including non-custodial fathers.

Postsecondary School – Postsecondary education means a formal institutional educational program whose instruction is designed primarily for students who have completed or terminated their secondary education or are beyond the compulsory age of secondary education, including programs whose purpose is academic, vocational, or continuing professional education. (EC 94857)

School Dropout – an individual who is no longer attending any school and who has not received a secondary school diploma or its recognized equivalent (WIOA Section 3[54]). In accordance with TEGL 08-15, this term does not include individuals who dropped out of postsecondary school.

Secondary School – a nonprofit institutional day or resident school, including a public secondary charter school, that provides secondary education, as determined under state law, except that the term does not include any education beyond grade 12 (Title 20 USC Section 1401[27]).

Chapter 8 - Low-Income Individual Determination

The determination of low-income status applies to the WIOA youth and adult programs.

8.1 Low-Income Individual

Low-Income Individual - An individual, who meets the following criteria:

1. Receives, or in the past six months has received, or is a member of a family that receives or in the past six months has received:
 - a. Supplemental nutrition assistance program (SNAP).
 - b. Temporary assistance for needy families (TANF).
 - c. Supplemental security income (SSI).
 - d. Local income-based public assistance.
2. Is in a family with total family income that does not exceed the higher of the following:
 - a. The [federal poverty line](#).
 - b. Seventy percent of the [LLSIL](#).
3. Qualifies as a [homeless](#) individual.
4. Receives or is eligible to receive a free or reduced-price lunch under the *Richard B. Russell National School Lunch Act*.
5. Is a foster child on behalf of whom state or local government payments are made.
6. Is an [individual with a disability](#) whose own income meets the requirements of a program described in (2), but who is a member of a family whose income does not meet such requirements.

(Reference: WIOA Section 3[36])

Low-Income Exceptions for Youth

In addition to the above criteria, an IS youth or OS youth automatically qualifies as low-income if the youth lives in a high-poverty area. A high-poverty area is one of the following that has a poverty rate of at least 25 percent as set every 5 years using American Community Survey (ACS) 5-Year data:

- A County
- A Census tract
- A set of contiguous Census tracts
- An American Indian Reservation
- Other tribal land as defined by USDOL in guidance

Local Areas may access ACS 5-Year data on the [US Census Fact Finder](#) website to determine the poverty rate. WSD17-07 provides step-by-step instruction on how to calculate the poverty rate.

(References: Title 20 CFR Section 681.260; TEGL 21-16, WSD17-07)

Additionally, an IS youth who receives or is eligible to receive free or reduced-price lunch would meet low-income requirements. However, in schools where the entire school automatically receives free or reduced-price lunch, WIOA programs must base low-income status on the individual student's eligibility to receive free or reduced-price lunch or on their ability to meet one of the other low-income categories outlined above.

In accordance with TEGL 21-16, an OS youth who is a parent living in the same household as a child who receives or is eligible to receive free or reduced-price lunch based on their income level, can also meet low-income criteria in the same manner as IS youth based on their child's qualification.

(References: TEGL 21-16; WSD17-07)

8.2 Low-Income Related Definitions

Lower Living Standard Income Level – The income level (adjusted for regional, metropolitan, urban, and rural differences and family size), determined annually by the US Department of Labor based upon the most recent lower living family budget. The LLSIL is published annually in the Federal Register. (Reference: WIOA Section 3[36][B])

Federal Poverty Line – The income level at which families are considered to live in poverty, as annually determined by the US Department of Health and Human Services. The poverty level is published annually in the Federal Register. (Reference: Title 42 USC Section 9902[2])

Public Assistance – Federal, state, or local government cash payments for which eligibility is determined by a needs or income test. The statutory definition of public assistance contains a two-part test:

1. The program must provide cash payments.
2. Eligibility for the program must be determined by a needs or income test.

(Reference: WIOA Section 3[50])

Homeless – Any adult or youth meeting the conditions as defined in the *Violence Against Women Act of 1994* (Title 42 USC Section 14043e-2[6]) or the *McKinney-Vento Homeless Assistance Act* (Title 42 USC Section 11434a[2]). Conditions include the following:

1. An individual who lacks a fixed, regular, and adequate nighttime residence to include any of the following:
 - a. Sharing the housing of other persons due to loss of housing, economic hardship, or similar reason.
 - b. Living in a motel, hotel, trailer park, or campground due to lack of alternative adequate accommodations.

- c. Living in an emergency or transitional shelter.
 - d. Abandoned in a hospital.
 - e. Awaiting foster care placement.
2. An individual who has primary nighttime residence that is a public or private place not designed for or ordinarily used as a regular sleeping accommodation (e.g., cars, parks, public spaces, abandoned buildings, substandard housing, bus train station, or similar settings).
 3. A child who is a migratory agricultural worker or fisher or living with a parent who is a migratory agricultural worker or fisher and is living in circumstances described above.

Individual with a Disability – The term disability means one of the following, with respect to an individual:

1. A physical or mental impairment that substantially limits one or more of the major life activities:
 - a. Major life activities include, but are not limited to, caring for oneself, performing manual tasks, seeing, hearing, eating, sleeping, walking, standing, lifting, bending, speaking, breathing, learning, reading, concentrating, thinking, communicating, and working.
 - b. Major life activities also include the operations of a major bodily function, including but not limited to, functions of the immune system, normal cell growth, digestive, bowel, bladder, neurological, brain, respiratory, circulatory, endocrine, and reproductive functions.
2. A record of such an impairment; or
3. Being regarded as having such impairment.
 - a. The individual establishes that they have been subjected to an action prohibited under the Americans with Disabilities Act (ADA) because of an actual or perceived physical or mental impairment, whether or not the impairment limits or is perceived to limit a major life activity.
 - b. “Being regarded as having such an impairment” shall not apply to impairments that are transitory and minor. A transitory impairment is an impairment with an actual or expected duration of 6 months or less.

(Reference: Title 42 USC Section 12102)

Emancipated Minor – Any person under the age of 18 who meets any of the following criteria:

- 1. Has entered into a valid marriage, whether or not such marriage was terminated by dissolution.***
- 2. Is on active duty with any of the armed forces of the United States of America.***
- 3. Has received a declaration of emancipation pursuant to California Family Code 7122.***

(Reference: California Family Code, Section 7002)

Runaway Youth – A person under 18 years of age who absents himself or herself from home or place of legal residence without the permission of a parent or legal guardian. (Reference: Title 42 USC Section 5732 a[4])

Out-of-Family Youth – Court adjudicated youth separated from the family (including incarcerated youth), homeless, runaway, and emancipated youth. For purposes of determining income eligibility, out-of-family youth are considered a “family of one.”

Family – Two or more persons related by blood, marriage (including same-sex marriages), or decree of court, who are living in a single residence, and are included in one or more of the following categories:

1. A married couple and dependent children.
2. A parent or guardian and dependent children.
3. A married couple.

Refer to the *WIOA Title I Acceptable Documentation List* for a list of documents that can be used to determine family size.

(References: Title 20 CFR Section 675.300; TEGL 21-16)

Foster Child – A youth participant who is currently in foster care or who has aged out of the foster care system or who has attained 16 years of age and left foster care for kinship guardianship or adoption, a child eligible for assistance under the *John H. Chafee Foster Care Independence Program*, or in an out-of-home placement.

(References: Title 20 CFR Sections 681.210 and 681.220; TEGL 21-16)

8.3 Dependent Children

Dependent Children – Children who can be claimed as a dependent on their parent’s income tax return. To meet the Internal Revenue Service qualifications a child must meet relationship, age, residency, support, and joint return criteria:

1. Relationship – A child must be as follows:
 - a. A son, daughter, stepchild, foster child, or a descendant (including adopted and foster child).
2. Age – A child must be as follows:
 - a. Under age 19 at the end of the calendar year.
 - b. A student under age 24 at the end of the calendar year.
 - c. Permanently and totally disabled at any time of the year regardless of age.
3. Residency – A child must have lived with the WIOA participant for more than half the year (Exception to the time requirement include temporary absences, children who were born or died during the year, kidnapped children and children of divorced or

separated parents).

- a. Temporary absences include illness, education, business, vacation, military service, and detention in a juvenile facility.
4. Support – A child pays less than half of their own support for the year.
5. Joint return – A child cannot file a joint tax return for the year unless the joint return is only to claim a refund of income tax withheld or estimated tax paid:
 - a. Example of joint return exception: A WIOA participant’s 18-year old son and his 17-year old wife had \$800 of wages from part-time jobs and no other income. They lived with the WIOA participant all year. Neither is required to file a tax return, but taxes were taken out of their pay so they filed a joint return only to get a refund of the withheld taxes.

For additional examples of each qualifying criterion see [IRS publications](#) on personal exemptions and dependents.

Local Boards may establish policy and procedures for determining the dependency of youth who do not fall into one of the defined categories above, or for whom income tax information is not available. As it is not possible to develop policy that will cover every situation, Local Boards should establish policy that allows for common sense, humanity, and good case records.

Include these local policy and procedures below.

Local dependency policy and procedures:
See LOCAL POLICY: “Dependent Status Policy”

8.4 Determining Low-Income Status

Income Sources

Low-income status is one of the barriers to employment and is used in determining priority of service for the Adult program and eligibility for the Youth program. Income is also used to calculate economic self-sufficiency and is a part of the definition of displaced homemaker. The guidance below outlines the type of income that can be considered for these purposes.

Included Income

The following income sources should be included in an individual’s income calculation:

1. Monetary compensation for services, including wages, tips, salary, commissions, or fees before any deductions.
2. Net receipts from non-farm self-employment (receipts from a person's own unincorporated business, professional enterprise, or partnership, after deductions for business expense).
3. Net receipts from farm self-employment (receipts from a farm which one operates as an owner, renter, or sharecropper, after deductions for farm operating expenses).

4. Regular payments from railroad retirement, strike benefits from union funds, worker's compensation, and training stipends (e.g., wages from the California Conservation Corp).
5. Alimony, military family allotments, or other regular support from an absent family member or someone not living in the household.
6. Private pensions, government employee pensions (including military retirement pay).
7. Regular insurance or annuity payments (including state disability insurance).
8. College or university scholarships (not needs-based), grants, fellowships, and assistantships.
9. Net gambling or lottery winnings.
10. Severance payments.
11. Terminal leave pay.
12. Social Security Disability Insurance (SSDI) payments.
13. Social Security old age and survivors' insurance benefits.
14. Unemployment insurance.
15. Regular child support payments (including foster care child payments).

The following income sources should **not** be included in an individual's income calculation:

1. Financial assistance under Title IV of the Higher Education Act, i.e., Pell Grants.
2. Supplemental Educational Opportunity Grants and Federal Work Study.
3. Needs-based scholarship assistance.
4. Loans.
5. Veterans' benefits.
6. All pay and/or financial allowances earned while the veteran was on active military duty and certain other veterans' benefits, i.e., compensation for service-connected disability, compensation for service-connected death, vocational rehabilitation, and education assistance. (Title 20 CFR Section 683.230)
7. Capital gains.
8. Any assets drawn down as withdrawals from a bank, the sale of property, a house or a car.
9. Tax refunds, gifts, loans, lump-sum inheritances, one-time insurance payments, or compensation for injury.
10. Non-cash benefits such as employer paid or union-paid portion of health insurance or other fringe benefits, food or housing received in lieu of wages.
11. The value of food and fuel produced and consumed on farms.
12. The imputed value of rent from owner occupied nonfarm or farm housing.
13. Indian General Welfare Benefits (Reference: [Title 26 USC Section 139E](#))
14. Medicare, Medicaid, food stamps, school meals, and housing assistance, and other state or local income-based public assistance.
15. Allowances, earnings, and payments to individuals participating in programs under WIOA Title 1. (Reference: Title 20 CFR Section 683.275[d])
16. When a federal statute excludes income received under that statute in determining eligibility for programs operated under other federal laws, such income is *excluded* in WIOA eligibility determination as well.

(Reference: WIOA Section 3[36])

Calculating Income

Individuals normally receive income as salary, varying, or intermittent payments. Local Areas may calculate an individual's income using the following methods:

1. **Salary** – Salary is income received without variation in gross pay from pay period to pay period. Salary information may be provided in a series of pay stubs or one, cumulative pay stub.

To determine an individual's gross income for the most recent six-month time period, multiply the individual's weekly gross pay by 26, bi-weekly pay by 13, bi-monthly pay by 12, or monthly pay by 6.

Example: Bi-weekly pay stubs indicate a gross amount of \$548.

$\$548 \times 13 = \$7,124$, the income for the most recent six-month time period

To determine the individual's annual gross income, multiply their weekly gross pay by 52, bi-weekly pay by 26, bi-monthly pay by 24, or monthly pay by 12.

Example: Year-to-date earnings of \$16,812 with bi-monthly payments. There were 18 bi-monthly payments of \$934.

$\$16,812 \div 18 = \934 , the bi-monthly payment amount

$\$934 \times 24 = \$22,416$, the annual gross income

2. **Varying** – When reported earnings vary from pay period to pay period, annualize the average of the earnings submitted. The earnings may be submitted on a number of pay stubs or on one cumulative pay stub.

Example: Six weekly pay stubs report the following gross earnings: \$534, \$475, \$398, \$534, \$498, and \$534.

Add: $\$534 + \$475 + \$398 + \$534 + \$498 + \$534 = \$2,973$

Divide: $\$2,973 \div 6 = \495.50 , the average gross weekly earnings

Multiply: $\$495.50 \times 52 = \$25,766$, the annual gross income

3. **Intermittent** – Earnings are varied and include periods of unemployment. With as much data as possible, determine the annual gross income by adding the reported earnings.

The Lower Living Standard Income Level and Poverty Guidelines

The LLSIL and poverty guidelines are used to establish low-income status for WIOA Title I programs. Local Areas use the LLSIL and poverty guidelines respectively to determine eligibility for certain youth, eligibility for employed adults for certain services, and self-sufficiency. The

LLSIL and poverty guidelines can be found in the EDD LLSIL and Poverty Guidelines webpage.

The WIOA specifies that only the income received during the six-month period immediately prior to the individual's application for WIOA-funded services is used for income determination. Depending on an individual's situation, their income for eligibility purposes may include only their income (e.g., out-of-family youth) or their total family income. The total family income includes the income from each family member.

For more information on how to use the LLSIL and poverty guidelines to determine low-income status or self-sufficiency for WIOA Title I programs, refer to the [LLSIL and Poverty Guidelines Directive WSD24-02](#).

Chapter 9 - Definitions

Below is a list of terms defined throughout the TAG.

Active Duty – Full-time duty in the active military service of the United States. Active duty includes full-time training duty, annual training duty, and attendance, while in the active military services, at a school designated as a service school by law or by the Secretary of the military department concerned. Such term does not include full-time National Guard duty. (Reference: Title 10 USC Section 101[d][1])

Armed Forces – Includes the Army, Navy, Air Force, Marine Corps, Space Force, and Coast Guard. (Reference: 10 USC Section 101[4])

Attachment to the Workforce – Locally defined. Click on the term to go to the local definition.

Barriers to Employment – As defined in the WIOA Section 3(24), the term “individual with a barrier to employment” means a member of *one or more* of the following populations:

1. [Displaced Homemakers](#)
2. [Low-income individuals](#)
3. Indians, Alaska Natives, and Native Hawaiians (WIOA Section 166)
4. Individuals with disabilities, including youth who are individuals with disabilities (WIOA Section 3(25))
5. Older individuals (WIOA Section 3[39])
6. [Offender/Ex-offenders](#)
7. [Homeless Individuals](#)
8. Youth who are in or have aged out of the [foster care](#) system
9. Individuals who are English language learners, individuals who have low levels of literacy, and individuals facing substantial cultural barriers (WIOA Sections 3[21] and 203[7])
10. Eligible migrant and seasonal farmworkers (WIOA Section 167[i])
11. Individuals within 2 years of exhausting lifetime eligibility under part A of Title IV of the Social Security Act (42 USC Section 601 et seq.)
12. Single parents (including single pregnant women)
13. Long-term unemployed individuals (TEGL 19-16)
14. Such other groups as the Governor involved determines to have barriers to employment. As of January 1, 2018, California includes:
 - ***Transgender and gender non-confirming individuals (Senate Bill 396)***
(Workforce Services Information Notice WSIN17-22)

Basic Skills Deficient – The following definition applies with respect to an individual:

1. A youth who has English, reading, writing, or computing skills at or below the 8th grade

level of a generally accepted standardized test. In assessing basic skills, local programs must use assessment instruments that are valid and appropriate for the target population, and must provide reasonable accommodation in the assessment process, if necessary, for individuals with disabilities. (Reference: Title 20 CFR Section 681.290)

2. A youth or adult who is unable to compute or solve problems, or read, write, or speak English at a level necessary to function on the job in the individual's family or in society. (Reference: WIOA Section 3[5])

CalJOBSSM – CalJOBS is California's online resource to help job seekers and employers navigate the state's workforce services and serves California's WIOA Titles I and III federally recognized "system of record" for the participant tracking and reporting for the WIOA and the W-P.

Covered Person – Related to the application of priority of service, a covered person is a veteran or eligible spouse.

Deficient in Basic Literacy Skills – Locally defined. Click on the term to go to the local definition.

Dependent Children – Children who can be claimed as a dependent on their parent's income tax. To meet the Internal Revenue Service qualifications a child must meet relationship, age, residency, support, and joint return criteria.

Displaced Homemaker –An individual who has been providing unpaid services to family members in the home and meets criteria a **and** b:

- a. Meets either of the following conditions:
 - (i) Has been dependent on the income of another family member, but is no longer supported by that income (e.g., because the other family member was laid off, or because of death or divorce); or
 - (ii) Is the dependent spouse of a member of the US Armed Forces on active duty and whose family income has been significantly reduced because of the service member's deployment, call or order to active duty, permanent change of station, or service-connected death or disability.
- b. Is unemployed or underemployed and having trouble obtaining or upgrading employment.

Eligible Spouse – The spouse (including the same-sex spouse) of any of the following:

1. Any veteran who died of a service-connected disability.
2. Any member of the Armed Forces serving on active duty who, at the time of application for the priority, is listed in one or more of the following categories and has been so listed for a total of more than 90 days:
 - a. Missing in action.
 - b. Captured in the line of duty by a hostile force.
 - c. Forcibly detained or interned in the line of duty by a foreign power.

3. Any veteran who has a total disability resulting from a service-connected disability, as evaluated by the VA.
4. Any veteran who died while a disability was in existence.

(Reference: Title 38 USC Section 4215[a]).

Emancipated Minor – Any person under the age of 18 who meets the following criteria:

1. **Has entered into a valid marriage, whether or not such marriage was terminated by dissolution.**
2. **Is on active duty with any of the armed forces of the United States of America.**
3. **Has received a declaration of emancipation pursuant to California Family Code 7122.**

(Reference: The California Family Code, Section 7002)

Employed – An individual is considered employed at the date of participation if they meet any of the following criteria:

1. Employed
 - a. Did any work at all as a paid, unsubsidized employee on the date participation occurs.
 - b. Worked 15 hours or more in an unpaid job on a farm or business operated by a family member. (Reference: TEGL 10-16, Change 3, Attachment 1)
2. Employed, but received notice of termination or military separation
 - a. Has received a notice of termination of employment or the employer has issued a Worker Adjustment and Retraining Notification (WARN) or other notice that the facility or enterprise will close.
 - b. Is a transitioning service member.

(Reference: TEGL 10-16, Change 3, Attachment 1)

Equal Opportunity (EO) Data – Data on race and ethnicity, age, sex, and disability required by 29 CFR Part 38 governing nondiscrimination. Although staff must ask, participants are not required to provide information.

Family – Two or more persons related by blood, marriage (including same-sex marriages), or decree of court, who are living in a single residence, and are included in one or more of the following categories:

1. A married couple and dependent children.
2. A parent or guardian and dependent children.
3. A married couple.

(References: Title 20 CFR Section 675.300; TEGL 21-16 and TEGL 26-13)

Foster Child – A youth participant who is currently in foster care or who has aged out of the foster care system or who has attained 16 years of age and left foster care for kinship guardianship or adoption, a child eligible for assistance under the *John H. Chafee Foster Care Independence Program*, or in an out-of-home placement.

(References: Title 20 CFR Sections 681.210 and 681.220; TEGL 21-16)

Furlough – The placing of an employee in a temporary status without duties and pay because of lack of work or funds or other non-disciplinary reasons [Title 5 USC Section 7511(a)(5)]. As such, it is a temporary termination of employment or layoff.

General Announcement – Locally defined. Click on the term to go to the local definition.

General Economic Conditions – Locally defined. Click on the term to go to the local definition.

Homeless – The term homeless, homeless individual, or homeless person includes the following:

1. An individual who lacks a fixed, regular, and adequate nighttime residence.
2. An individual with a primary nighttime residence that is a public or private place not designed for or ordinarily used as a regular sleeping accommodation for human beings, including a car, park, abandoned building, bus or train station, airport, or camping ground.
3. An individual living in a supervised publicly or privately operated shelter designed to provide temporary living accommodations (including hotels and motels paid for by federal, state, or local government programs for low-income individuals or by charitable organizations, congregate shelters, and transitional housing).
4. An individual who resided in a shelter or place not meant for human habitation and who is exiting an institution where he or she temporarily resided.
5. An individual who meets the following criteria:
 - a. Will imminently lose their housing, including housing they own, rent, or live in without paying rent, are sharing with others, and rooms in hotels or motels not paid for by Federal, State, or local government programs for low-income individuals or by charitable organizations, as evidenced by any of the following:
 - i. A court order resulting from an eviction action that notifies the individual or family that they must leave within 14 days.
 - ii. The individual or family having a primary nighttime residence that is a room in a hotel or motel and where they lack the resources necessary to reside there for more than 14 days.
 - iii. Credible evidence indicating that the owner or renter of the housing will not allow the individual or family to stay for more than 14 days, and any oral statement from an individual or family seeking homeless assistance that is found to be credible shall be considered credible

- evidence for purposes of this clause.
- b. Has no subsequent residence identified.
 - c. Lacks the resources or support networks needed to obtain other permanent housing.
6. Unaccompanied youth and homeless families with children and youth defined as homeless under other federal statutes who meet the following criteria:
- a. Have experienced a long-term period without living independently in permanent housing.
 - b. Have experienced persistent instability as measured by frequent moves over such period.
 - c. Can be expected to continue in such status for an extended period of time because of chronic disabilities, chronic physical health or mental health conditions, substance addiction, histories of domestic violence or childhood abuse, the presence of a child or youth with a disability, or multiple barriers to employment.

Individual Employment Plan – An individualized career service, that is developed jointly by the participant and career planner when determined appropriate by the one-stop center or one-stop partner. The plan is an ongoing strategy to identify employment goals, achievement objectives, and an appropriate combination of services for the participant to achieve the employment goals.

Individual with a Disability – The term disability means, with respect to an individual, one of the following:

1. A physical or mental impairment that substantially limits one or more of the major life activities:
 - a. Major life activities include, but are not limited to, caring for oneself, performing manual tasks, seeing, hearing, eating, sleeping, walking, standing, lifting, bending, speaking, breathing, learning, reading, concentrating, thinking, communicating, and working.
 - b. Major life activities also include the operations of a major bodily function, including but not limited to, functions of the immune system, normal cell growth, digestive, bowel, bladder, neurological, brain, respiratory, circulatory, endocrine, and reproductive functions.
2. A record of such an impairment; or
3. Being regarded as having such impairment.
 - a. The individual establishes that they have been subjected to an action prohibited under the Americans with Disabilities Act (ADA) because of an actual or perceived physical or mental impairment whether or not the impairment limits or is perceived to limit a major life activity.
 - b. “Being regarded as having such an impairment” shall not apply to impairments that are transitory and minor. A transitory impairment is an impairment with an actual or expected duration of 6 months or less.

(Reference: Title 42 USC Section 12102)

Layoff – The permanent or temporary termination of employment of an employee due to a position being abolished, insufficient funds, lack of work, or any other reason not reflecting discredit on the employee (e.g., dismissal for inadequate performance, violation of workplace rules, cause, etc.).

Lockout – Any refusal by an employer to permit any group of five or more employees to work as a result of a dispute with such employees affecting wages, hours or other terms or conditions of employment of such employees (California Labor Code Section 1132.8). A lockout does not terminate the employer-employee relationship, so locked out employees are not eligible dislocated workers since they have not been terminated or laid off, are not eligible for unemployment compensation, and are likely to return to the same industry or occupation once the dispute is resolved. The same guidance applies to employees that are on strike. There may be locked out employees who for financial reasons seek other employment. These individuals may be served as adults.

Low-Income Individual – An individual, who meets any of the following criteria:

1. Receives, or in the past six months has received, or is a member of a family that receives or in the past six months has received any of the following:
 - a. Supplemental nutrition assistance program (SNAP).
 - b. Temporary assistance for needy families (TANF).
 - c. Supplemental security income (SSI).
 - d. Local income-based public assistance.
2. Is in a family with total family income that does not exceed the higher of the following:
 - a. The poverty line.
 - b. Seventy percent of the LLSIL.
3. Qualifies as a homeless individual.
4. Receives or is eligible to receive a free or reduced-price lunch under the *Richard B. Russell National School Lunch Act*.
5. Is a foster child on behalf of whom state or local government payments are made.
6. Is an individual with a disability whose own income meets the requirements of a program described in (2), but who is a member of a family whose income does not meet such requirements.

(Reference: WIOA Section 3[36])

WIOA Section 129(a)(2) states that low-income additionally includes youth living in a census tract that has a poverty rate of at least 25 percent as set every five years using the American Community Survey 5-year data.

LLSIL – The income level (adjusted for regional, metropolitan, urban, and rural differences and family size), determined annually by the DOL based upon the most recent lower living family budget. The LLSIL is published annually in the [Federal Register](#).

Military Spouse – An individual who is married to an active-duty service member, including National Guard or Reserve personnel on active duty. The surviving spouse of an active-duty service member who lost his/her life while on active-duty service in Afghanistan, Iraq, or other combat-related areas is considered to be a military spouse.

Consistent with TEGL 26-13, the definition of “military spouse” includes same-sex spouses.

Non-Covered Person – Persons who is not a veteran or spouse as relating to priority of service application.

Not Employed – An individual is considered not employed at the date of participation when he/she meets any of the following criteria:

1. Is not a paid employee in an unsubsidized job.
2. Did not work more than 15 hours in an unpaid job on a farm or business operated by a family member. (Reference: TEGL 10-16, Change 3, Attachment 1)
3. Has received a notice of termination of employment or the employer has issued a WARN or other notice that the facility or enterprise will close.
4. Is a transitioning service member.

Offender – The term offender means any adult or juvenile who meets any of the following criteria:

1. Is or has been subject to any stage of the criminal justice process, for whom services under this act may be beneficial.
2. Requires assistance in overcoming artificial barriers to employment resulting from a record of arrest or conviction.

Out-of-Family Youth – Court adjudicated youth separated from the family (including incarcerated youth), homeless, runaway, and emancipated youth. For purposes of determining income eligibility, out-of-family youth are considered a “family of one.”

Poverty Line – The income level at which families are considered to live in poverty, as annually determined by the US Department of Health and Human Services. The poverty level is published annually in the Federal Register.

Pregnant or Parenting Youth – An individual who is pregnant or a custodial or non-custodial parent.

Priority of Service – “Priority of service” means the right to take precedence over a person with a lower priority in obtaining employment and training services. Veterans and eligible spouses are entitled to receive precedence over non-covered persons for employment, training, and placement services. Specifically, a veteran or an eligible spouse either receives access to a service earlier in time than a non-covered person or, if the resource is limited, the veteran or

eligible spouse receives access to the service instead of or before the non-covered person.

Program Year – Often abbreviated as PY, a WIOA program year begins on July 1 and ends on June 30.

Public Assistance – Federal, state, or local government cash payments for which eligibility is determined by a needs or income test.

Runaway Youth – A person under 18 years of age who absents himself or herself from home or place of legal residence without the permission of his or her family.

Self-Attestation – Self-attestation, also referred to as a participant statement or self-certification, occurs when a participant declares their status for eligibility in writing or via an electronic/digital method. The key elements for self-attestation are as follows:

1. The participant identifying their status for permitted elements/eligibility criteria, and
2. Signing and dating a form attesting to this self-identification (e.g., a signed WIOA Program Application).

Electronic/digital signatures or a submission from the participant such as an email, text, or unique online survey response is considered an electronic signature or verification; it must be participant generated and traceable to the participant. Grantees must retain documentation of the self-attestation, such as hard copies or in CalJOBS with a remote signature.

School Dropout – An individual who is no longer attending any school and who has not received a secondary school diploma or its recognized equivalent (WIOA Section 3[54]). Per TEGL 8-15, this term does not include individuals who dropped out of postsecondary school.

Self-Service or Informational Activities – Services an individual can access in an AJCC with minimal or no staff assistance (e.g., self-service labor market research, resume preparation, job search, etc.). These services are general in nature and not customized to an individual's needs.

Self-Sufficiency – Locally defined. Click on the term to go to the local definition.

Substantial Layoff – Locally defined. Click on the term to go to the local definition.

Transitioning Service Member – A service member in active-duty status (including separation leave) who participates in employment services and is within 24 months of retirement or 12 months of separation.

Truancy – A truant is in violation of California's compulsory school attendance laws and a school district is not permitted to sanction violation of those laws by issuing a permit to work. A truant/dropout is subject to arrest, and the parents are subject to infraction fines if the minor is found working without a work permit.

Underemployed – An individual who is working part-time but desires full time employment, or who is working in employment not commensurate with the individual’s demonstrated level of educational attainment.

Unlikely to Return – Locally defined. Click on the term to go to the local definition.

Veteran – A person who served at least one day in the active military, naval, or air service, and who was discharged or released under conditions other than dishonorable, as specified in 38 USC Section 101(2). A recently separated veteran means any veteran who applies for participation under the WIOA within 48 months after the discharge or release from active military, naval, or air service.

Work First – Work first programs share the philosophy that any job is a good job and that the best way to succeed in the labor market is to join it, developing work habits and skills on the job rather than in a classroom.

SUMMARY OF COMMENTS

WIOA Title I Technical Assistance Guide (WSDD-249)

There were 36 comments to the draft version of this Directive:

Comments #1-2 – Are needs-based public assistance payments excluded from family income? If so, can the list include California's major public assistance programs (CalWORKs, CalFresh, General Relief, Refugee Cash Assistance) on the list of excluded income sources. Additionally, is supplemental Security Income (SSI) from the Social Security Administration excluded from family income?

Resolution – In accordance with Section 3(36) of the *Workforce Innovation and Opportunity Act (WIOA)*, state and local income-based public assistance, including those types mentioned by the commenter, are excluded when determining income. Additionally, SSI is also excluded from family income calculations. These exclusions have been clarified in the revised list of income sources in Chapter 8, *Low Income Individual Determination*.

Comment #3 – Table 7, *Priority of Service* on the Acceptable Documentation List makes reference to Workforce Services Information Notice (WSIN) 17-22, *Barriers to Employment and LGBT Data Collection*. WSIN 17-22 identifies transgender and gender nonconforming individuals as one of the populations who face employment barriers. This is different from priority of service. Is the IN listed correctly?

Resolution – The reference to WSIN17-22 was removed as it was inadvertently included.

Comment #4 – The narrative in Section 2.2., *WIOA Program Application*, of the TAG states that "information" must be collected to support an eligibility determination. The narrative should state that required documentation must also be collected.

Resolution – The language aligns with Title 20 of the Code of Federal Regulations (CFR) Section 680.110 which states, "Registration is the process for collecting information to support a determination of eligibility. This information may be collected through methods that include electronic data transfer, personal interview, or an individual's application." While Section 2.2 focuses on the collection of information, Section 2.3, *Application Process*, provides more detailed guidance on the specific requirements for collecting documentation to support an eligibility determination.

Comments #5-10 – When referencing general program eligibility within the TAG, authorization to work should be included.

Resolution – All references to general program eligibility throughout the TAG were revised to clarify that authorization to work is a part of the general eligibility criteria. In the Draft Directive, *Authorization to Work* was included as a standalone chapter, however the content on authorization to work has now been incorporated into the chapter on *General Eligibility Criteria* (Chapter 3).

Comments #11-12 – Section 2.4, *Eligibility Determination*, states that eligibility is determined at the time of "application" and not at the time of "enrollment". In order for an applicant to be considered eligible, they must submit a complete application packet, including documentation. All this must happen prior to enrollment.

Resolution – Section 2.4 was revised to ensure clarity regarding the timing of eligibility determinations.

Comments #13-15 – In Section 2.5, *Participation*, what specifically is meant by individuals who use self-service/information-only services must be "documented"? And how should services be documented for these customers?

Resolution – The language in Section 2.5 was revised to clarify a record of their participation must be kept in CalJOBS.

Comment #16 – The narrative of Section 3.3, *Authorization to Work*, states that Locals have the flexibility to determine when to ask for authorization to work documents during program participation. This does not appear to allow the flexibility to request documents at the time of application.

Resolution – Section 3.3 was revised following the release of TEGL 10-23, *Reducing Administrative Barriers to Improve Customer Experience in Grant Programs by the Employment and Training Administration*. TEGL 10-23 clarifies that many services provided through the WIOA Title I Adult, Dislocated Worker, and Youth programs may be delivered without proof of the participant's work authorization. Refer to Section 3.3 for more detailed information on when work authorization should be requested.

Comments #17-18 – When discussing compliance with Selective Service System requirements, the narrative should state that individuals "assigned male" at birth are required to comply.

Resolution – Section 3.1, *Selective Service System Registration*, was revised to clarify that registration requirement is based upon an individual's gender assigned at birth.

Comment #19 – The list of underemployed individuals in Section 5.3, *Self-Sufficiency*, does not include those working on contract or gig work nor those who are employed on a temporary basis.

Resolution – Individuals working on contract, gig work, or who are employed on a temporary basis are included in the first bulleted item in Section 5.3, “Employed less than full-time who are seeking full-time employment.”

Comment #20 – Why would a Local Area set a self-sufficiency standard for dislocated workers? (Section 5.3, *Self Sufficiency*)

Resolution – Title 20 CFR 680.210 specifies that training services should be available to those unable to secure employment that leads to economic self-sufficiency, making it essential for Local Areas to define these standards to effectively identify and assist individuals in need of further support.

Comments #21-22 – Are individuals who voluntarily quit and are determined to be eligible for unemployment insurance considered dislocated workers? Should Section 6.1, *Determining Dislocated Worker Status*, include a reference to individuals who quit?

Resolution – Under the definition of dislocated worker in WIOA Section 3(15), individuals who voluntarily quit are not considered dislocated workers. This interpretation is further supported by the Department of Labor (DOL) in TEG 21-08, Change 2, *Federal Pell Grants and Payment of Unemployment Benefits to Individuals in Approved Training*, which states that in general, individuals who quit their jobs are not considered dislocated workers, even if they are receiving unemployment benefits.

Comment #23 – We interpreted out-of-school (OS) youth to be individuals who are not “enrolled” in school rather than those not attending. Is this incorrect?

Resolution – CFR 681.210(c)(2) states that an OS youth is one who is within the age of compulsory school attendance but has not *attended* school for at least the most recent complete school year calendar quarter. Section 7.5, *Youth Related Definitions* provides further clarification on the definitions of attending school and not attending school.

Comments #24-26 – Should the definition for basic skill deficient be written as “below 9th grade level”?

Resolution – As outlined in 20 CFR Section 681.290, basic skill deficient is defined at the 8th grade level; however, states are given flexibility in setting their policies. As stated in California’s Unified Strategic Workforce Development Plan, California established a state-

imposed requirement at the 8.9 grade level, which corresponds to the 9th grade level, thereby enabling the state to serve a broader range of individuals.

Comment #27 – The definition of “offender” used to include the phrase, “overcoming perceived or artificial barriers” but the word “perceived” appears to have been removed.

Resolution – The definition for "offender" reflects WIOA Section 3(38)(B) and TEGl 21-16, *Third Workforce Innovation and Opportunity Act (WIOA) Title I Youth Formula Program Guidance*.

Comment #28 – When an individual has been out of the labor force for a significant amount of time, they will need assistance from Service Providers that can help them with the support, guidance, job leads, and job search assistance that they need. Under the eligibility for displaced homemaker in the Acceptable Documentation List, Table 2, the proposed requirement of providing evidence of a minimum of ten employer contacts, creates an undue barrier that impedes access to services that they need.

Resolution – “Evidence of a minimum of ten employer contacts” was provided as an example; the definition for difficulty in obtaining or upgrading employment is to be set by the Local Board. The section on displaced homemaker eligibility was updated within Table 2 to direct staff to review their local definition for "difficulty in obtaining or upgrading employment" when using self-attestation to meet eligibility as a displaced homemaker.

Comment #29 – Can self-attestation be added to Table 2 in the Acceptable Documentation List for Category 1(b)(i) “an individual who is eligible for or has exhausted entitlement to unemployment compensation”? Obtaining UI records or copies of documentation needed to meet this eligibility requirement makes it difficult and creates undue hardship for a number of individuals in varying stages of their livelihood. Many individuals do not have the documents readily available, have difficulty in obtaining documentation, or it takes considerable time to obtain them. All of the other categories under the dislocated worker section allow for self-attestation.

Resolution – No. For Table 2, Category 1(b)(i), individuals will need to provide documentation in accordance with the listed Acceptable Documentation. If an individual is unable to provide a copy of their records, they can request assistance by calling [UI Customer Service](#) at 1-800-300-5616 or submitting an inquiry through their UI Online Account under “Contact Us”.

Comment #30 – Section 2.5, *Participation*, ends with a note that states, “In accordance with 20 CFR 677.150, individuals who use self-service and/or receive information-only services or activities are considered reportable individuals, and a record of these individuals must be kept,” is this note applicable to youth as well as adults and dislocated workers?

Resolution – The note was revised to clarify program-specific requirements regarding reportable individuals for each WIOA Title I program.

Comment #31 – Is there a particular order in which services for participants should be completed before participants are able to receive training. If so, can the order be listed?

Resolution – No, there is no specific order of services required before participants can receive training. Refer to Chapter 5, *Eligibility Criteria for Career and Training Services* for additional information.

Comments #32-34 – Chapter 9, *Definitions*, includes "transgender and gender non-conforming individuals" as a barrier to employment, and this barrier is used in the adult program. Should this also be included as a part of the barriers for youth in Chapter 7, *Additional Eligibility Criteria for Youth*?

Resolution – Transgender and gender non-conforming youth may be covered under the barrier of low-income youth who require additional assistance to enter or complete an education program or to secure or hold employment. Refer to the local policies regarding youth who require additional assistance.

Comment #35 – Section 8.2, *Low-Income Related Definitions*, defines dependent children; how is dependency defined for the adult and dislocated worker programs?

Resolution – The definition of dependency for adults or dislocated workers is outside the scope of the WIOA Title I Eligibility TAG. The TAG is focused on general eligibility criteria and does not address specific definitions of adult dependency, as this does not impact eligibility determinations for WIOA programs. For case-specific questions, contact your [Regional Advisor](#).

Comments #36-37 – Would income from a youth who has aged out of the foster care system and started to receive payments from the Senate Bill 739, *The Transition Age Foster Youth Act*, be counted as earned income?

Resolution – Under WIOA Section 3(36) foster youth are considered low-income and are not required to meet additional low-income criteria. Therefore, income received from programs like the *Transition Age Foster Youth Act* would not be counted as income for WIOA eligibility purposes.

Comment #38 – Are per capita tribal income payments to be considered a source of income?

Resolution – No. In accordance the *Tribal General Welfare Exclusion Act of 2014* ([26 United States Code Section 139E](#)), gross income does not include the value of any Indian general

welfare benefit. The list of Excluded Income sources in the TAG (Section 8.4, *Determining Low-Income Status*), was updated accordingly.

Comment #39 – The Acceptable Documentation List includes “case notes” as a form of acceptable documentation. Can there be clarification as to whether case notes from other programs/partners can help assist in verifying eligibility criteria?

Resolution – Information that has been obtained and verified by other programs or partners can be used to assist in verifying eligibility criteria. Detailed notes should be included regarding the acceptable documentation that was verified by the partner or program. Additionally, the source of the verified information should be clearly documented to maintain an accurate record.

Comment #40 – What documentation can be used to verify “unlikely to return” for farmworkers, ranchers, and fisherman?

Resolution – A list of acceptable documentation that can be used to meet the criterion of “unlikely to return to a previous industry or occupation” can be found in the Acceptable Documentation List, Table 2, *Dislocated Workers*; this list is applicable to farmworkers, ranchers, and fishermen and includes self-attestation.

Comment #41 – Section 2.4, *Eligibility Determination*, states that eligibility is determined at the time of enrollment, and describes what happens when someone's situation changes to end eligibility (using an aging-out-of-school Youth participant as the example), but does not describe what happens if someone's eligibility changes to expand their eligibility for services after enrollment begins (e.g., an incumbent worker receives a layoff notice and now qualifies as a dislocated worker). Is that participant eligible for the expanded services, or are they locked into what they were eligible for when they signed up?

Resolution – Participants do not lose access to services if their situation changes from what it was when they were initially determined to be eligible. If a participant's eligibility changes in a way that expands their eligibility for services after enrollment begins, AJCC staff should assist them in being evaluated for and accessing additional services which they are now eligible for, as appropriate. For case-specific questions contact your Regional Advisor.

Comment #42 – Under the definitions of “family” and “dependent children” are youth participants required to include others in low-income calculation or simply provide their individual income? For example, when determining family size for youth still living at home with their parents, youth living with a family member, and youth living with a sibling or a friend.

Resolution – There are circumstances where only the youth’s income is considered in determining whether a youth satisfies WIOA income limits for the Youth program. Refer to TEGL 21-16 for additional guidance.

Comment #43 – Are OS youth who are English Language Learners and who have dropped out of school eligible for WIOA services? How should Local Areas document these barriers on the WIOA application? Barrier 3 requires a secondary school diploma/equivalent, can youth who are English Language Learners be enrolled as a dropout under Barrier 1 without meeting Barrier 3?

Resolution – Barriers listed in the table, including being a school dropout, offender, homeless individual, etc., do not stipulate that an individual must be English-speaking to qualify. Each barrier operates independently and does not impose language-related restrictions unless explicitly stated. Barrier 3 is specifically designed for individuals who have obtained a secondary school diploma or its recognized equivalent; for individuals to be eligible under Barrier 3, they must be low-income and either basic skills deficient or an English Language Learner. Again however, this does not limit English Language Learners from being eligible under other barriers.

Comment #44 – What is an individualized education program, for In-School (IS) youth as mentioned in Chapter 7, *Additional Eligibility Criteria for Youth*?

Resolution – An individualized education program is a personalized plan designed for students with disabilities under the *Individuals with Disabilities Act*. Refer to TEGL 21-16 and Education Code Sections [56026](#) and [56345](#) for additional information.

Comment #45 – Is there a link to the education database, or K-12 database? Where is that accessed?

Resolution – We have reassessed the content and removed the K-12 database from the TAG to ensure the documentation options are applicable for California.

Comment #46 – Is a signed WIOA application acceptable documentation for the criteria of “Youth with a Disability”?

Resolution – No. Although the WIOA application may request Equal Opportunity Data, which includes disability status, an individual’s signed WIOA application may not be used as acceptable documentation for this criterion. However, self-attestation is among the acceptable documentation. If self-attestation is used, the individual can identify as having a disability and may also choose not to disclose additional details regarding the disability.

Comments #47-50 – Can the TAG include instructions on when and how to assess an individual for basic skills deficiency? Can we use an assessment from a partner or school?

Resolution – In accordance with Title 20 CFR 681.290(c), Local Areas must use assessments that are valid and appropriate for the target population. Refer to Section 7.4, *Local Definitions and Eligibility Criteria* for the local definition and eligibility documentation requirements for basic skills deficient. Direct more specific concerns to your Regional Advisor.

Comment #51 – Section 5.1, *Eligibility for Career Services*, states that when determining eligibility for individualized career services, AJCC staff may use “recent previous” interviews, evaluations, or assessments by partner programs. Is there a timeline for “recent previous.”

Resolution – Please note, the word “previous” was removed to be in alignment with WIOA Section 134. Federal guidance does not provide a timeline for the term recent previous. For case-specific concerns, contact your Regional Advisor.

Comment #52 – The definition of foster child in Chapter 9, *Definitions*, states that an individual is considered a foster child if they have been in foster care at any point in their lifetime. Does a child qualify as a foster child if they were in foster care for 1 month at age 10, does that make them eligible?

Resolution – The definition of foster child has been revised throughout the TAG for clarification. Eligibility under this criterion is intended for individuals in foster care or who have aged out of the foster care system or who has attained 16 years of age and left foster care for kinship guardianship or adoption, or a child eligible for assistance under Section 477 of the Social Security Act (42 U.S.C. 677), or in an out-of-home placement.

Therefore, an individual who was in foster care for 1 month at age 10 would not qualify as a foster child.

Comments #53-54 – Can you outline a list of documents that would prove a person is self-employed for dislocated worker eligibility?

Resolution – Self-attestation is sufficient documentation for an individual who is looking to meet the criterion of self-employed due to dislocation.

Comment #55 – Can we indicate throughout this document what will also work for Data Element Validation (DEV) monitoring that we get every three years?

Resolution – The TAG provides eligibility guidance for the WIOA Title I Adult, Dislocated Worker, and Youth Programs. WSD22-15, *WIOA Data Validation Source Documentation*, provides guidance on program participant source documentation requirements. If a Local Area

has a working need for eligibility criteria and DEV to be listed within the same document, the Local Area is free to make additional notes to accompany their local eligibility guidance as long as those notes clearly identify acceptable documentation for eligibility criteria versus DEV source documentation.

Comment #56 – There is no information about the version, edition, or date of the TAG or Additional Documentation List, which presumably are attachments so they can be updated easily without going through the same process as updating a Directive. This creates substantial risk that a Local Area will not notice that an update has happened and may in good faith rely on out-of-date documents, complicating efforts to enforce the updates. Can some method of version control be implemented?

Resolution – When revisions are made to a Directive, an email notification is sent through the [EDD Workforce Development Email Subscription List](#) to inform staff and the workforce development community of the update. Revision dates are also included on the appropriate document(s). Additionally, Local Areas should check the [EDD Directive webpage](#) to ensure they are using the most current version of the guidance.

Note About Additional Feedback Received –

Additional comments were received regarding formatting, grammar, and minor wordsmithing alterations needed within the Directive, TAG, and Acceptable Documentation List. While these comments have not been individually addressed within the Summary of Comments, they were reviewed, and minor revisions were made to the final versions of the documents as needed to improve the clarity, consistency, and readability.



Acceptable Documentation for WIOA Title I Program Eligibility

Local Workforce Development Areas (Local Area) must verify or confirm an individual’s eligibility for the Adult, Dislocated Worker or Youth programs through an examination of documents. The following tables are an accompaniment to the *Workforce Innovation and Opportunity Act (WIOA) Title I Technical Assistance Guide (TAG)* and are designed to assist Local Areas in documenting participant eligibility. Only the documentation sources included in these tables are acceptable for establishing WIOA Title I eligibility. Information must be collected from the individual to support a determination of eligibility. This information is collected through the individual’s WIOA Program Application, which may be a paper application that is physically signed or an electronic application that is electronically signed.

Eligibility verification is not the same as Data Element Validation (DEV). While DEV may verify the existence of acceptable documentation for certain eligibility criteria, DEV does not verify participant eligibility. For more information on DEV, refer to Workforce Services Directive, *WIOA Data Validation Source Documentation* ([WSD22-15](#)).

Personally Identifiable Information (PII) must be collected, used, and maintained properly. Medical or disability information must be collected and stored separately in accordance with Title 29 *Code of Federal Regulations (CFR)* Section 38.41(b)(3).

Definitions	
The following definitions apply for types of acceptable documentation.	
Case Notes	Case notes are paper or electronic statements by the case manager that identify, at a minimum, (1) a participant's status for a specific data element, (2) the date on which the information was obtained, and (3) the case manager who obtained the information. If case notes are used as a documentation source, the case notes must provide a documented trail back to the source of information verified.
Self-Attestation	Self-attestation, also referred to as a participant statement or self-certification, occurs when a participant declares their status for eligibility in writing or via an electronic/digital method. The key elements for self-attestation are: (1) The participant identifying their status for permitted elements/eligibility criteria, and (2) Signing and dating a form attesting to this self-identification (e.g., a signed WIOA Program Application). Electronic/digital signatures or a submission from the participant such as an email, text, or unique online survey response is considered an electronic signature or verification; it must be participant generated and traceable to the participant. Grantees must retain documentation of the self-attestation, such as hard copies or in CalJOBS with a remote signature.

References:

Title 20 CFR Section [675.300](#)

Training and Employment Guidance Letter (TEGL) [09-22](#), *Workforce Innovation and Opportunity Act Title I Youth Formula Program Guidance*
[TEGL 23-19, Change 2](#), *Guidance for Validating Required Performance Data Submitted by Grant Recipients of U.S. Department of Labor (DOL)*
Workforce Programs, Revised Attachment II, *Source Documentation for WIOA Core/Non-Core Programs*

Workforce Services Directive, WSD15-14, *Adult Program Priority of Service*

Table 1 – General Eligibility

The General Eligibility criteria apply to all WIOA Title I Adult, Dislocated Worker, and Youth programs. **Individuals must meet all applicable general eligibility criteria** to be eligible for WIOA-funded services.

Eligibility Criteria	Acceptable Documentation
<p>1. Birth date/age</p> <p><i>References: WIOA Sections 3(2), 129(a)(1)(B)(ii), and 129(a)(1)(C)(ii); 20 CFR Section 680.120</i></p>	<p>The document must display the individual’s name and date of birth.</p> <p>The following documentation may be used to satisfy this criterion (only one is required):</p> <ul style="list-style-type: none">• Birth Certificate (United States [US] or non-US issued)• Federal, state, or local Identification Card (including Tribal Records)• Driver’s License• Passport (US or non-US issued)• Mexico Consular Identification Card (Matricula Consular)• Baptismal Record• DD-214• Report of Transfer or Discharge Paper• Selective Service Registration• Hospital Record of Birth (US or non-US issued)• Public Assistance/Social Services/Justice System Record• School Record or Identification (ID) Card (US or non-US issued)• Work Permit• Family Bible• Signed Letter from Parent/Guardian

<p>2. Authorization to Work in the US</p> <p><i>References: WIOA Section 188[a][5]; TEGL 10-23</i></p>	<p>The following documentation may be used to satisfy this criterion:</p> <ul style="list-style-type: none"> • One verification document from List A of the I-9 Form, or • One verification document from List B <u>and</u> one verification document from List C of the I-9 Form • USCIS Systematic Alien Verification for Entitlements (SAVE) verification • Employment Authorization Documents (EAD)
<p>3. Selective Service System Registration Applicable for individuals born male on or after January 1, 1960</p> <p><i>References: WIOA Section 189[h]; TEGL 11-11, Change 2, Selective Service Registration Requirements for Employment and Training</i></p>	<ul style="list-style-type: none"> • For individuals who registered with the Selective Service System as required between the ages of 18 and 25: <ul style="list-style-type: none"> ○ DD Form 214 (DD-214) ○ Selective Service Acknowledgement Letter ○ Selective Service Registration Card ○ Selective Service System Telephone Verification (1-847-688-6888) ○ Screen printout from Selective Service verification website ○ Selective Service Registration Record (Form 3A) ○ Stamped Post Office receipt of Registration • For individuals who did not register with the Selective Service System by their 26th birthday or who believe they were exempt from registering: <ul style="list-style-type: none"> ○ Selective Service System Status Information Letter (SIL) (Available on the Selective Service System website along with a list of acceptable documentation) ○ Self-attestation – Signed statement that explains why the individual’s failure to register was not knowing and willful. • For non-US citizens born male who entered the country for the first time after their 26th birthday: <ul style="list-style-type: none"> ○ Date of entry stamp in passport (non-citizens) ○ Department of Homeland Security Form I-94, Arrival-Departure Record with date of entry stamp (non-citizens) ○ Letter from US Citizenship and Immigration Services showing date of entry and documentation to confirm age (non-citizens) <p>Note – Refer to <i>Section 3.1, Selective Service System Registration</i>, of the WIOA TAG for local policy and procedures determining if an individual knowingly and willfully failed to register.</p>

Table 2 – Dislocated Worker Eligibility

To be eligible as a Dislocated Worker, an individual must meet the WIOA General Eligibility criteria ([Table 1](#)) **and** one of the eligibility criteria below.

Eligibility Criteria	Acceptable Documentation
<p>1. General Dislocation An individual looking to satisfy this criterion, must meet all of the following: 1a, 1b, and 1c. Note – There are two options for meeting 1b.</p> <p><i>References: WIOA Section 3[15][A]; TEGL 19-16 and Attachment III; 20 CFR Section 680.660</i></p>	
<p>1a. An individual who was terminated or laid off, or who received a notice of termination or layoff, from employment. This includes a separation notice, under other than dishonorable conditions, from active military service.</p>	<p>The following documentation may be used to satisfy this criterion (only one is required):</p> <ul style="list-style-type: none"> • Notice of layoff or termination, including a Worker Adjustment and Retraining Notification (WARN notice) • DD-214 or other documentation issued by the Department of Defense, showing separation or imminent separation from the Armed Forces with a discharge that is anything other than dishonorable Screen print or photocopy of a media article describing the layoff. The printout must include the name of the publication and date of the article • Verbal or written verification from employer documented in case notes that includes employer name, title, phone number, and date of dislocation • Rapid Response List/Sign-In Sheet • WIOA UI – Data Consent Authorization Form • Copy of DE 1101CLMT Notice of Unemployment Insurance Claim Filed • Copy of DE 8406 Personalized Job Search Assistance (PJSA) Appointment Notice • Copy of DE 8530 Reemployment Services and Eligibility Assessment (RESEA) Appointment Notice • Case Notes verifying attendance of RESEA or PJSA • PJSA and RESEA CalJOBS Activities entered by EDD Staff • Self-attestation

<p>1b. (i) Is eligible for or has exhausted entitlement to unemployment compensation; or</p>	<p>The following documentation may be used to satisfy this criterion (only one is required):</p> <ul style="list-style-type: none"> • UI records, including: <ul style="list-style-type: none"> ○ DE 1180PH Claim Status and Payment History ○ DE 4581 Continued Claim Form ○ Copy of UI Online Payment Information ○ Telephone Verification through EDD Tele-CertSM • Copy of DE 429Z Notice of UI Award • Copy of DE 8406 PJSA Appointment Notice • Copy of DE 8530 RESEA Appointment Notice or referral to RESEA
<p>(ii) Has been employed for a duration sufficient to demonstrate attachment to the workforce but is not eligible for unemployment compensation due to insufficient earnings or having performed services for an employer that were not covered under a state unemployment compensation law.</p>	<p>The following documentation may be used to satisfy this criterion (only one is required):</p> <ul style="list-style-type: none"> • Paycheck stubs • W-2 and/or tax returns • UI records, including any of the following: <ul style="list-style-type: none"> ○ DE 429Z Notice of UI Award ○ DE 4581 Continued Claim Form • Written statement by the employer or union representative • Self-attestation <p>Refer to <i>Section 6.2, Definitions and Standards for Eligibility</i> in the WIOA TAG for the local definition of attachment to the workforce and a list of acceptable documentation.</p>

<p>1c. Is unlikely to return to a previous industry or occupation.</p>	<p>The following documentation may be used to satisfy this criterion (only one is required):</p> <ul style="list-style-type: none"> • DD-214 or other documentation with a discharge status that is anything other than dishonorable, showing separation or imminent separation from the Armed Forces. • Copy of DE 8530 RESEA Appointment Notice or referral to RESEA • Case Notes verifying attendance of RESEA • Screen print from internet site such as CalJOBSSM or the Labor Market Information Division that indicates lack of industry/occupation availability • Doctor statement indicating applicant’s inability to return to previous industry/occupation due to physical limitations • Vocational rehabilitation counselor’s statement indicating applicant’s inability to return to previous industry/occupation due to physical limitations • Copy of Supplemental Job Displacement Benefit (SJDB) voucher issued by the Division of Worker’s Compensation for state-approved educational retraining or skills enhancement • Employment Specialist’s determination • Self-attestation
<p>2. Dislocation from Plant Closure/Substantial Layoff An individual looking to satisfy this criterion, must meet any of the following: 2a or 2b or 2c. <i>References: WIOA Section 3[15][B]; TEGL 19-16, Attachment III</i></p>	
<p>2a. An individual who was terminated or laid off, or who received a notice of termination or layoff, from employment as a result of any permanent closure of, or substantial layoff at, a plant, facility, or enterprise.</p>	<p>The following documentation may be used to satisfy this criterion (only one is required):</p> <ul style="list-style-type: none"> • Copy of media article/announcement describing the closure or mass layoff; the copy must include the name of the medium in which published and the date of publication • Bankruptcy documents, if declared under <i>Chapter 7</i>, Title 11 U.S.C. Notice of foreclosure or a similar document provided by a financial institution when such document clearly shows that a closure or mass layoff will occur as a result of its issuance • Statement from the employer or union representative • Statement from the employer’s bank official, attorney, supplier, accountant, or another knowledgeable individual • WARN notice • Rapid Response List/Sign-In Sheet • Telephone verification with employer • Self-attestation

<p>2b. An individual employed at a facility at which the employer made a general announcement that such facility will close within 180 days.</p>	<p>The following documentation may be used to satisfy this criterion (only one is required):</p> <ul style="list-style-type: none"> • WARN notice • UI records, including the following: <ul style="list-style-type: none"> ○ DE 429Z Notice of UI Award ○ DE 4581 Continued Claim Form • Copy of other specific notice to employee of intent to layoff • UI Form 501 (Separation Statement), when completed on both sides and signed by an employer representative • Employer or union representative letter or statement • Written statement from the employer’s bank official, attorney, supplier, accountant, or another knowledgeable professional • Telephone verification with employer • Self-attestation
<p>2c. For purposes of eligibility for services other than training services included in WIOA Section 134(c)(3), career services included in WIOA Section 134(c)(2)(A)(xii), or supportive services, an individual must be employed at a facility or military installation at which the employer made a general announcement that such facility will close.</p>	
<p>3. Self-Employed Dislocation An individual looking to satisfy this criterion, must meet the following:</p> <p><i>References: WIOA Section 3[15][C]; TEGL 19-16, Attachment III</i></p>	
<p>An individual who was self-employed (including farmers, ranchers, fishermen, independent contractors, and consultants) but is unemployed as a result of general economic conditions in the community in which the individual resides or because of a natural disaster.</p>	<p>The following documentation may be used to satisfy this criterion (only one is required):</p> <ul style="list-style-type: none"> • Bankruptcy documents listing both the name of the business and the applicant's name • Business license • Copy of a completed federal income tax return (Schedule SE) for the most recent tax year • Copy of media article/announcement describing the closure or mass layoff; the copy must include the name of the medium in which published and the date of publication • Copy of articles of incorporation for the business listing the applicant as a principal • Self-attestation that the individual was self-employed and is now unemployed as the result of general economic conditions or natural disaster

<p>4. Displaced Homemaker</p> <p>To be eligible under this criterion, the individual must provide proof of 4a and 4b:</p> <p>Note – There are two options for meeting 4a.</p> <p><i>References: WIOA Section 3[15][D] and 3[16][A][i] and [B]; TEGL 19-16, Attachment III</i></p>	
<p>4a.</p> <p>(i)</p> <p>Has been dependent on the income of another family member, but is no longer supported by that income (e.g., because the other family member was laid off, or because of death or divorce), or</p>	<p>The following documentation may be used to satisfy this criterion (only one is required):</p> <ul style="list-style-type: none"> • Cross match with public assistance records • Copy of applicable Court records • Copy of Divorce papers or Legal Separation • Copy of Bank records showing financial dependence on spouse, no separate individual income support, or no employment income earned • Notarized Statement from family member of ex-spouse of non-support • Spouse’s layoff notice • Spouse’s death record • Self-attestation
<p>(ii)</p> <p>Is the dependent spouse of a member of the US Armed Forces on active duty and whose family income has been significantly reduced because of the service member's deployment, call or order to active duty, permanent change of station, or service-connected death or disability.</p>	<p>The following documentation may be used to satisfy this criterion (only one is required):</p> <ul style="list-style-type: none"> • Spouse’s Permanent Change of Station Orders for a military move or assignment • Documentation certifying a service-connected death or disability • Self-attestation that the individual is the spouse of an active member of the US Armed Forces and has experienced the loss of employment as a direct result of relocation to accommodate a permanent change in the service member’s duty station
<p>4b.</p> <p>Is unemployed or underemployed and having trouble obtaining or upgrading employment.</p>	<p>The following documentation may be used to satisfy this criterion (only one is required):</p> <ul style="list-style-type: none"> • Wage Record or Employer Statement • Self-attestation (This could include statement of continuous effort to seek employment that meets the local definition for difficulty in obtaining or upgrading employment. Refer to <i>Section 6.2, Definitions and Standards for Eligibility Criteria</i> for more detailed information.) <p>Note – Refer to <i>Section 6.5, Related Definitions</i> in the WIOA TAG for detailed information on employment status (i.e., employed, underemployed, not employed).</p>

5. Spouse of an Active-Duty Military Service Member

To be eligible under this criterion, the individual must provide proof of either of the following: 5a or 5b:

References: WIOA Sections 3[15][D] and 3[16][A][ii] and [B]; TEGL 19-16, Attachment III

<p>5a. Has experienced a loss of employment as a direct result of relocation to accommodate a permanent change in the service member’s duty station; or</p>	<p>The following documentation may be used to satisfy this criterion (only one is required):</p> <ul style="list-style-type: none">• Spouse’s Permanent Change of Station Orders for a military move or assignment• Self-attestation that the individual is the spouse of an active member of the US Armed Forces and has experienced the loss of employment as a direct result of relocation to accommodate a permanent change in the service member’s duty station
<p>5b. Is unemployed or underemployed and having trouble obtaining or upgrading employment.</p>	<p>The following documentation may be used to satisfy this criterion (only one is required):</p> <ul style="list-style-type: none">• Wage Record or Employer Statement• Needs Assessment• Signed Individual Employment Plan• Self-attestation that the individual has made continuous effort to seek employment that meets the local definition for difficulty in obtaining or upgrading employment. Refer to <i>Section 6.2, Definitions and Standards for Eligibility Criteria</i> for more detailed information. <p>Note – Refer to <i>Section 6.5, Related Definitions</i> in the WIOA TAG for detailed information on employment status (i.e., employed, underemployed, not employed).</p>

Table 3 – Youth Eligibility

A youth participant must meet the WIOA General Eligibility criteria ([Table 1](#)) and eligibility criteria for either Out-of-School (OS) Youth or In-School (IS) Youth.

Eligibility Criteria	Acceptable Documentation
<p>OS Youth To be determined eligible as an OS youth, an individual must provide proof that they meet all of the following:</p> <p><i>References: 20 CFR Section 681.210; TEGL 09-22 and TEGL 21-16</i></p>	
<p>Are not less than 16 years of age and not more than 24 years of age.</p>	<p>See “Age/Date of Birth” criteria in Table 1 – General Eligibility for the list of acceptable documentation.</p>
<p>Are not attending any secondary or postsecondary school.</p>	<p>The following documentation may be used to determine school status (only one is required):</p> <ul style="list-style-type: none"> • Copy of educational institution enrollment record • Applicable records from school or educational institution (GED certificate, diploma, attendance record, transcripts, report card, or school documentation) • Signed WIOA Program Application or registration form • Electronic records • File documentation with notes from program staff • Self-attestation

Have **one** or more of the following barriers:

- A school dropout
- A youth who is within the age of compulsory school attendance but has not attended school for at least the most recent complete school year quarter.
- A recipient of a secondary school diploma or its recognized equivalent who is a low-income individual and is either basic skills deficient or an English language learner.
- An offender.
- A homeless individual or a runaway.
- An individual in foster care or who has aged out of the foster care system or who has attained 16 years of age and left foster care for kinship guardianship or adoption, a child eligible for assistance under Section 477 of the *Social Security Act*, or in an out-of-home placement.
- An individual who is pregnant or parenting (custodial and non-custodial parent including non-custodial fathers).
- An individual with a disability.
- A low-income individual who requires additional assistance to enter or complete an educational program or to secure or hold employment.

- See [Table 4 – Barriers to Education and Employment](#) for the list of acceptable documentation.
- See [Table 6 – Low Income Eligibility](#) for the list of acceptable documentation.

IS Youth To be determined eligible as an IS youth, an individual must provide proof that they meet all of the following: <i>References: 20 CFR Section 681.210; TEGL 09-22 and TEGL 21-16</i>	
Are not less than 14 years of age and not more than 21 years of age. <i>Note – Youth with disabilities who are in an individualized Education program at the age of 22 may be in enrolled as an IS youth (TEGL 21-16).</i>	See Age/Date of Birth criteria in Table I – General Eligibility for the list of acceptable documentation.
Are attending school, including secondary and postsecondary school.	The following documentation may be used to determine school status (only one is required): <ul style="list-style-type: none"> • Copy of educational institution enrollment record • Applicable records from school or educational institution (GED certificate, diploma, attendance record, transcripts, report card, or school documentation) • Current financial award disbursement letter/record • Signed WIOA Program Application or registration form • Electronic records • Verbal Verification • Case Notes • Self-attestation
Are a low-income individual.	See Table 6 – Low Income Eligibility for the list of acceptable documentation. All IS youth must be low-income to meet the IS youth eligibility criteria, except those who fall under the low-income exception (20 CFR Section 281.250[b]). Refer to <i>Section 7.3, Five Percent Eligibility</i> of the WIOA TAG for more detailed information. Note - Only OS youth with certain barriers are required to be low-income (20 CFR Section 681.250[a]).

<p>Have one or more of the following barriers.</p> <ul style="list-style-type: none"> • Basic skills deficient • An English language learner • An offender • A homeless individual or runaway • An individual in foster care or who has aged out of the foster care system or who has attained 16 years of age and left foster care for kinship guardianship or adoption, a child eligible for assistance under Section 477 of the <i>Social Security Act</i>, or in an out-of-home placement • Pregnant or parenting (custodial and non-custodial parent including noncustodial parents) • An individual with a disability • An individual who requires additional assistance to complete an educational program or secure and hold employment. 	<p>See Table 4 – Barriers to Education and Employment for the list of acceptable documentation.</p>
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Table 4 – Barriers to Education and Employment – Youth and Adult Programs

Each criterion below indicates which program(s) it is applicable for – OS Youth, IS Youth, and Adults.

Eligibility Criteria	Acceptable Documentation
<p>Basic Skills Deficient <i>Applicable for IS Youth and Adults</i></p> <p><i>References: 20 CFR Sections 664.205 and 681.290; WSD15-14</i></p>	
<p>To meet this criterion and individual must meet one of the following:</p> <p>Have English reading, writing, or computing skills at or below the 8th grade</p>	<p>The following documentation may be used to satisfy this criterion (only one is required):</p> <ul style="list-style-type: none"> • Applicable Records from Education Institution (transcripts or other school documentation, including a referral or records from a Title II Basic Adult Education program or English Language Learner program)

<p>level on a generally accepted standardized test.</p>	<ul style="list-style-type: none"> • Assessment Test Results • Case notes
<p>Be unable to compute and solve problems, or read, write, or speak English at a level necessary to function on the job, in the participant’s family, or in society.</p>	
<p>English Language Learner <i>Applicable for IS Youth and Adults</i></p> <p><i>References: WIOA Section 203[7]; 20 CFR Section 688.120</i></p>	
<p>Determine if the participant, at program entry, is a person who has limited ability in speaking, reading, writing or understanding the English language and also meets at least one of the following two conditions: Their native language is a language other than English.</p>	<p>The following documentation may be used to satisfy this criterion (only one is required):</p> <ul style="list-style-type: none"> • Case notes • Assessment Test Results • Applicable Records from Education Institution (transcripts, or other school documentation) • Self-attestation • Signed WIOA Program Application or Enrollment Form • Signed Individual Service Strategy
<p>They live in a family or community environment where a language other than English is the dominant language.</p>	

<p>An Offender <i>Applicable for OS Youth, IS Youth, and Adults</i></p> <p><i>References: WIOA Section 3[38]; 20 CFR Section 688.120; TEGL 21-16</i></p>	
<p>Determine if the participant, at program entry, is a person who meets either of the following conditions:</p> <p>Has been subject to any stage of the criminal justice process for committing a status offense or delinquent act.</p> <p>Requires assistance in overcoming barriers to employment resulting from a record of arrest or conviction.</p>	<p>The following documentation may be used to satisfy this criterion (only one is required):</p> <ul style="list-style-type: none"> • Documentation from the Juvenile or Adult Criminal Justice System (includes Education Partner within the Juvenile or Adult Criminal Justice System) • Written Statement, Referral Document, or Documented phone call from a Court or Probation Officer • Referral Transmittal from a Reintegration Agency • Signed WIOA Program Application or Enrollment Form • Case Notes • Needs Assessment • Signed Individual Service Strategy • Federal Bonding Program Application • Self-attestation
<p>Homeless or Runaway <i>Applicable for OS Youth and IS Youth</i></p> <p><i>Reference: TEGL 19-16, Attachment III</i></p>	<p>The following documentation may be used to satisfy this criterion (only one is required):</p> <ul style="list-style-type: none"> • Signed WIOA Program Application or Enrollment Form • Written Statement or Referral Transmittal from a Shelter or Social Service Agency • Needs Assessment • Case Notes • Signed Individual Service Strategy • A letter from caseworker or support provider • Self-attestation
<p>Foster Care <i>Applicable for OS Youth and IS Youth</i></p> <p><i>References: 20 CFR Sections 681.210 and 681.220; TEGL 21-16</i></p>	<p>The following documentation may be used to satisfy this criterion (only one is required):</p> <ul style="list-style-type: none"> • Written Confirmation from Social Services Agency • Case Notes • Foster Care Agency Referral Transmittal • Signed WIOA Program Application or Enrollment Form • Needs Assessment • Signed Individual Service Strategy • Self-attestation

<p>Pregnant or Parenting (Includes custodial and non-custodial parents) whose dependent(s) are under 18 years of age <i>Applicable for OS Youth and IS Youth</i></p> <p><i>References: 20 CFR Sections 681.210 and 681.220; TEGL 21-16</i></p>	<p>The following documentation may be used to satisfy this criterion (only one is required):</p> <ul style="list-style-type: none"> • Copy of Birth Certificate • Baptismal Record • Medical Records confirming pregnancy • Case Notes • Needs Assessment • Special Supplemental Nutrition Program for Women, Infants, and Children (WIC) Eligibility Verification • Temporary Assistance for Needy Families (TANF)/W-2 Single Parent Eligibility Verification • Signed WIOA Program Application or Enrollment Form • Signed Individual Service Strategy • Self-attestation
<p>A Youth with a disability (Includes Learning Disabilities) <i>Applicable for OS Youth, IS Youth, and Adult</i></p> <p><i>References: 20 CFR Section 681.280; TEGL 21-16</i></p>	<p>The following documentation may be used to satisfy this criterion (only one is required):</p> <ul style="list-style-type: none"> • Medical Records or Physician’s Note • Individualized Education Plan (IEP), School 504 Records, other School Records, or Letter from School • Social Security Disability Records • Veteran’s Administration Records • Verification from Social Services Agency • Assessment Test Results • Self-attestation
<p>An individual who requires additional assistance to complete an education program or to secure or hold employment. <i>Applicable for OS Youth and IS Youth</i></p> <p><i>References: 20 CFR 681.210 and 681.220; TEGL 21-16</i></p>	<p>Local Boards are responsible for establishing local definitions and eligibility documentation requirements for “requires additional assistance” as it relates to both OS and IS youth. Refer to <i>WIOA Youth Program Requirements (WSD17-07)</i> for more detailed information.</p> <p>Refer to <i>Section 7.4, Local Definitions and Eligibility Criteria</i>, for the local definition of “an individual who requires additional assistance” and the list of acceptable documentation.</p>

<p>A School Dropout <i>Applicable for OS Youth</i></p> <p><i>References: WIOA Section 3(54); TEGL 21-16</i></p>	<p>The following documentation may be used to satisfy this criterion (only one is required):</p> <ul style="list-style-type: none"> • Copy of Educational Institution Enrollment Record • Applicable Records from Education Institution (GED certificate, diploma, attendance record, transcripts, report card, or school documentation) • Signed WIOA Program Application or Enrollment Form • Electronic Records • Self-Attestation
<p>Within the age of compulsory school attendance, but has not attended school for at least the most recent complete school year quarter <i>Applicable for OS Youth</i></p> <p><i>References: 20 CFR Section 681.210; TEGL 21-16</i></p>	<ul style="list-style-type: none"> • Copy of Educational Institution Enrollment Record • Applicable Records from Education Institution (GED certificate, diploma, attendance record, transcripts, report card, or school documentation) • Signed WIOA Program Application or Enrollment Form • Electronic Records • Self-attestation <p>Note – In cases where schools do not use quarters, local programs must use calendar year quarters.</p>

A recipient of a secondary school diploma or its recognized equivalent who is a low-income individual *and* is either basic skills deficient *or* an English language learner.

Applicable for OS Youth

Reference: 20 CFR Section [681.210](#)

<p>An individual meets this criterion if the individual is:</p> <p>A recipient of a secondary school diploma or its recognized equivalent</p> <p>and</p>	<p>The following documentation may be used to satisfy this criterion (only one is required):</p> <ul style="list-style-type: none"> • Applicable records from school or educational institution (GED certificate, diploma, attendance record, transcripts, report card, or school documentation) • Signed WIOA Program Application or Enrollment Form • Electronic Records • Self-attestation
<p>A low-income individual</p> <p>and is either</p>	<p>See Table 6 – Low-Income Eligibility for the list of acceptable documentation.</p>
<p>Basic skills deficient</p> <p>or</p> <p>An English language learner</p>	<p>See the “Basic Skills Deficient” criterion detailed within this table for the list of acceptable documentation.</p> <p>See the “English Language Learner” criterion detailed within this table for the list of acceptable documentation.</p>

Table 5 – Family Size

An individual’s family size must be determined before making a low-income determination.

Note: Documentation should be provided for all income sources for each family member for the six-month income period immediately preceding the determination date.

Eligibility Criteria	Acceptable Documentation
<p>Individual Status/Family Size Family means two or more persons related by blood, marriage (including same-sex marriages), or decree of court, who are living in a single residence, and are included in one or more of the following categories:</p> <p>(1) A married couple and dependent children</p> <p>(2) A parent or guardian and dependent children</p> <p>(3) A married couple</p> <p><i>References: 20 CFR Section 675.300; TEGL 23-16 and TEGL 19-16, Attachment III</i></p>	<p>The following documentation may be used to satisfy this criterion (only one is required but enough information must be provided to accurately determine family size):</p> <ul style="list-style-type: none"> • Birth Certificate • Social Security Card • Decree of Court • Divorce Decree • Individual with a disability (See Table 6 – Low Income Eligibility regarding family size and income requirements for individuals with disabilities) • Landlord Statement/Lease (with family members listed) • Marriage Certificate • Most Recent Tax Return (if current) • Public Assistance Records • Social Service Agency Records • Public Housing Authority (if Resident of or on Waiting List) • Written Statement from a Publicly supported 24-hour Care Facility or Institution (e.g., Mental, Prison) • Self-attestation <p>Refer to <i>Section 8.2, Low-Income Related Definitions</i>, of the WIOA TAG for definitions related to family size.</p>

Table 6 – Low-Income Eligibility Criteria

To be eligible as low-income, an individual must meet **one** of the criteria below. An individual’s family size must be determined before making a low-income determination. (See [Table 5 – Family Size](#))

Refer to *Section 8.4, Determining Low-Income Status* in the WIOA TAG for more information and for the list of acceptable (and excludable) documentation for calculating an individual’s income.

Eligibility Criteria	Acceptable Documentation
<p>The individual receives (or in the past six months has received), or is a member of a family that receives (or in the past 6 months has received) one of the following: <i>Applicable for OS Youth, IS Youth, and Adults</i></p> <p><i>References: WIOA Section 3[36]; TEGL 21-16</i></p>	
Assistance through the Supplemental Nutrition Assistance Program (SNAP)/CalFresh	The following documentation may be used to satisfy this criterion: (Only one document is required) <ul style="list-style-type: none"> • SNAP/CalFresh Eligibility Verification • Copy of Authorization to receive SNAP/CalFresh • Documentation of SNAP/CalFresh Benefit Receipt • Referral Transmittal from SNAP/CalFresh
Temporary Assistance for Needy Families (TANF)	The following documentation may be used to satisfy this criterion: (Only one document is required) <ul style="list-style-type: none"> • TANF Eligibility Verification • TANF Period of Benefit Receipt Verification • Referral Transmittal from TANF

Supplemental Security Income (SSI)	<p>The following documentation may be used to satisfy this criterion: (Only one document is required)</p> <ul style="list-style-type: none"> • SSI Receipt of Benefits Verification • Referral Transmittal from SSA • SSI Eligibility Verification
Other State or Local income-based public assistance	<p>The following documentation may be used to satisfy this criterion: (Only one document is required)</p> <ul style="list-style-type: none"> • Copy of Authorization to Receive Cash Public Assistance • Copy of Public Assistance Check • Medical Card Showing Cash Grant Status • Public Assistance Eligibility Verification
<p>Is in a family with total family income that does not exceed the higher of the following:</p> <ol style="list-style-type: none"> a. The federal poverty line b. Seventy percent of the Lower Living Standard Income Level (LLSIL) <p><i>Applicable for OS Youth, IS Youth, and Adults</i></p> <p><i>References: WIOA Section 3[36]; TEGl 21-16</i></p>	<p>Refer to <i>Section 8.4, Determining Low-Income Status</i> in the WIOA TAG for more information and for the list of income sources to be used when calculating an individual's income.</p> <p>Refer to the LLSIL and Poverty Guidelines Directive WSD24-02, for additional information.</p>

<p>Homeless individual <i>Applicable for OS Youth, IS Youth, and Adults</i></p> <p><i>Reference: WIOA Section 3[36]</i></p>	<p>See Table 4 – Barriers to Education and Employment for “Homeless” criteria.</p>
<p>Receives or is eligible to receive a free or reduced-price lunch under the <i>Richard B. Russell National School Lunch Act</i> <i>Applicable for IS Youth</i></p> <p><i>References: 20 CFR Section 681.270; TEGL 21-16; WSD17-07</i></p>	<p>The following documentation may be used to satisfy this criterion (only one is required):</p> <ul style="list-style-type: none"> • Documentation from school • Self-attestation <p>Note – In schools where the entire school automatically receives free or reduced-price lunch, WIOA programs must base low-income status on an individual student’s eligibility to receive free or reduced-price lunch or on meeting one of the other low-income categories under WIOA.</p>
<p>Is a foster child on behalf of whom state or local government payments are made <i>Applicable for OS Youth and IS Youth</i></p> <p><i>References: WIOA Section 3[36]; TEGL 21-16</i></p>	<p>See Table 4 – Barriers to Education and Employment for “Foster Care” criteria.</p>
<p>Is an individual with a disability whose own income does not exceed the higher of the Federal Poverty Guidelines or 70% of the Lower Living Standard Income Level Guidelines, but who is a member of a family whose income does not meet such requirements. <i>Applicable for OS Youth, IS Youth, and Adults</i></p> <p><i>References: WIOA Section 3[36][A][vi]; 20 CFR Section 663.640</i></p>	
<p>See that the individual meets all of the following:</p> <p>Has a disability (including learning disability).</p>	<p>See “Disability” criterion in Table 4 – Barriers to Education and Employment.</p>

The individual's own income does not exceed the higher of the Federal Poverty Guidelines or 70% of the LLSIL.	See " Family Income " criterion detailed above in this table.
Is a member of a family whose income does exceed the higher of the Federal Poverty Guidelines or 70% of the LLSIL.	See " Family Income " criterion detailed within this table.

Table 7 – Priority of Service

References: WIOA Section [134\[c\]\[3\]\[E\]](#); 20 CFR Section [680.600](#); TEGL [10-09](#) and [19-16](#); [WSD15-14](#)

Priority of Service Category	Acceptable Documentation
<p>Category 1:</p> <p>Veteran’s and Eligible Spouses who are Low-Income or Basic Skills Deficient</p>	<p>To qualify, an individual must meet criteria 1 and 2 below:</p> <p>(1) Eligible veterans’ status The following documentation may be used to satisfy this criterion (only one is required):</p> <ul style="list-style-type: none"> • DD-214 • Military ID Card • State issued ID or Driver’s License showing Veteran Designation • Cross-Match with Department of Defense Records • Cross-Match with Veterans Service Database • Letter from the Veterans' Administration <p>(2) Are determined to be either a recipient of public assistance, low-income individual or basic skills deficient.</p> <ul style="list-style-type: none"> • See Table 6 – Low-Income Eligibility for the “Recipients of SNAP, TANF, SSI, or other Public Assistance” criterion and a list of acceptable documentation. • See Table 6 – Low-Income Eligibility for the list of acceptable documentation. • See Table 4 – Barriers to Employment and Education for the “Basic Skills Deficient” criterion and a list of acceptable documentation. <p>Refer to <i>Priority of Service for Veterans and Eligible Spouses</i> WSD19-04 for more detailed information on Veteran’s Priority of Service and when verification of status for veterans and eligible spouses is required.</p>
<p>Category 2:</p> <p>Non-covered persons (individuals who are not veterans or eligible spouses) who are recipients of public assistance, other low-income individuals, and individuals</p>	<p>An individual qualifies under this category if they are not a veteran or eligible spouse; and are any of the following:</p> <ul style="list-style-type: none"> • A recipient of public assistance. See Table 6 – Low-Income Eligibility for the “Recipients of SNAP, TANF, SSI, or other Public Assistance” criterion and list of acceptable documentation. • Other low-income individual.

<p>who are basic skills deficient.</p>	<p>See Table 6 – Low-Income Eligibility for the list of acceptable documentation.</p> <ul style="list-style-type: none"> • An individual who is basic skills deficient. <p>See Table 4 – Barriers to Employment and Education for the “Basic Skills Deficient” criterion list of acceptable documentation.</p>
<p>Category 3:</p> <p>Veterans and eligible spouses who are not recipients of public assistance, low-income, or basic skills deficient.</p>	<p>An individual qualifies under this category if they meet the following criteria:</p> <ul style="list-style-type: none"> • Have veteran’s status as outlined in Category 1 above. • Are not a recipient of public assistance, a low-income individual, or basic skills deficient.
<p>Category 4:</p> <p>Anyone who does not belong to one of the above categories, but who belongs to a priority population established by the Governor or Local Board.</p>	<p>Refer to the Local Board policy for locally designated priority populations and acceptable documentation requirements.</p>
<p>Category 5:</p> <p>Other individuals not included in WIOA’s priority groups.</p>	<p>The individual’s WIOA Program Application shows no indication of the priority groups listed above.</p>

**Imperial County Workforce Development Board
Action Agenda Item 7**

MEETING DATE: January 22, 2025

ITEM: 7

SUBJECT: Discussion/Action to approve the “Dependent Status Policy”

FROM: Priscilla Lopez, ICWED Director

RECOMMENDATION:

ICWED Director recommends approving the Dependent Status Policy

BACKGROUND:

WIOA itself does not explicitly define "dependent," leaving room for state interpretations based on factors such as age, living situation, and tax filing status. This policy aims to reduce uncertainty and ensure consistency in determining eligibility related to family size and the inclusion of income for all relevant members of an applicant's family.

This policy will assist eligibility staff in properly determining whether an individual is dependent or independent. Income criteria are particularly important for youth applicants, as low-income status is one of the requirements under the youth program.

For the adult program, being low-income is one of the categories outlined in the Adult Priority of Service state directive and our local policy. One of WIOA's eligibility qualifications under the priority of service for adult employment and training activities is that the applicant be low-income.

Determining an applicant's family status can sometimes be confusing for staff. This policy provides guidance to assist staff in making accurate determinations regarding family status and the inclusion of income for all relevant members of an applicant's family.

FISCAL IMPACT:

None

POLICY	ORIGINAL DATE	LAST REVISION
Dependent Status Policy	FISCAL YEAR 2024-2025	January 2025

POLICY OVERVIEW:

The purpose of this policy is to provide guidance and criteria for determining dependent status for WIOA adult and young adults/youth applicants. It is intended for use by the Imperial County Workforce Development Board (ICWDB), the Imperial County Workforce and Economic Development Office (ICWED), the America’s Job Center of California (AJCC), and Youth Service Providers.

REFERENCES:

- 29 U.S.C 3101 et seq.
- 20 CFR 675.300
- U.S. Internal Revenue Service (IRS)

BACKGROUND:

This policy aims to reduce uncertainty and ensure consistency in determining eligibility related to family size and the inclusion of income for all relevant members of an applicant's family. The support provided by parents or guardians must be carefully evaluated when assessing eligibility for WIOA adult and youth program services. Additionally, the definition of "dependent" has been expanded to include young adults aged 18-24, as well as youth applicants and participants.

DEFINITIONS:

Dependent – Must meet the qualifications established by the Internal Revenue Service (IRS). Failure to meet any of these qualifications means the applicant cannot be considered a dependent.

1. **Relationship**
 - a. Son, daughter, stepchild, eligible foster child, brother, sister, half-brother, half-sister, stepbrother, stepsister, adopted child or descendant of one of these.
2. **Residence**
 - a. Has the same principal residence as the taxpayer for more than half the tax year, with some exceptions.
3. **Age**
 - a. Under age 19 at the end of the calendar year (if not a student) **or**
 - b. A student under age 24 at the end of the calendar year **or**
 - c. Permanently and totally disabled at any time of the year regardless of age.
4. **Support**
 - a. The individual cannot provide more than 50% of their own support.
5. **Joint Return**
 - a. The individual cannot file a joint tax return for the year unless the joint return is only to claim a refund of income tax withheld or estimated tax paid.

Independent – An individual who meets any of the following criteria:

- Under 18 years of age, not living with parents or guardians, and not receiving any support from them.
- Between the ages of 18 and 24, providing more than 50% of their own support.
- Age 24 or older.
- Married (including separated but not divorced).
- Has children who receive more than half of their support from the individual.
- Has dependents other than a spouse or children who live with the individual and receive more than half of their support from the individual.
- Lives in their own residence or in a residence without financial or other support from parents or guardians.
- Is on active duty in the U.S. Armed Forces for purposes other than training.
- A veteran of the U.S. Armed Forces.

Family Size – Two or more persons related by blood, marriage (including same-sex marriages), or decree of court, who are living in a single residence, and are included in one or more of the following categories:

- A married couple and dependent children.
- A parent or guardian and dependent children.
- A married couple.

Family-of-One – Any individual who meets the criteria of any one of the following categories:

- An individual who has a verified, documented disability.
- A single, widowed, permanently separated, or divorced person, without one or more dependent children living in a single residence with the applicant, and who is not a dependent child.
- A homeless individual who lacks a fixed, regular, and adequate nighttime residence.
- A foster child on behalf of whom state or local government payments are made.
- A runaway youth who is separated from the family.
- An emancipated youth who is separated from the family.
- A court-adjudicated youth who is separated from the family.

Support – As it relates to dependent, financial assistance from parents or guardians to help pay for food, clothing, shelter, utilities, education, medical and dental care, recreation, transportation, and any other living expenses; as well as government provided cash public assistance and food assistance.

Individuals with Disabilities – Based on 20 CFR 663.640 and 664.250, even if the family of a disabled individual does not meet the income eligibility criteria, the disabled individual may be considered a low-income individual if their own income meets the income criteria of WIOA Section 101(25)(A) or 101(25)(B). The disabled individual would be considered a family of one and only the individual's income would be considered when determining low-income status.

POLICY AND PROCEDURE:
WIOA Youth Program

Dependent status must be documented for all WIOA eligible youth applicants, ages 14-24, as defined in section 129 (a)(1), (2), and (3) of the WIOA. Verification of dependent status and means of support must be documented for each youth program participant. Self-attestation may be accepted to document parental support if signed by a parent or guardian.

The issue of guardianship concerning dependent children may be determined by decree of court or may be determined by a state or federal agency which has established or assumed guardianship.

An individual under 18 years of age is always considered to be a dependent child, unless the youth is not living with parents or guardians and is not receiving any support from them.

Determination of dependent status is particularly important for WIOA youth, ages 18-24, who are applying for WIOA youth-funded services as not all out-of-school youth enrolled in the youth program are low-income individuals.

WIOA Adult Program

Dependent status must be documented for all WIOA adults, ages 18-24. The determination of dependent status is driven by the following factors:

1. The amount of support provided to the applicant by his or her parent(s) or guardian(s).
2. Applicant's age.
3. The applicant's marital status.
4. The applicant's dependents (biological/adopted children, disabled adults, and others dependent on the applicant for more than 50% of the applicant's support).
5. Veteran status.

It is not possible to develop policy that will cover every situation. At some point, common sense, humanity, and good case records must compensate for the limitations of policies in anticipating every scenario.

ACTION:

Please ensure that this policy is brought to the attention of all appropriate staff and relevant parties. This policy is effective immediately. Once approved by the ICWDB, subsequent changes will not require board approval unless the revisions affect established protocols. Furthermore, this policy must be appropriately maintained until further notice.

INQUIRIES:

Should you have any questions, please feel free to contact ICWDB staff at (442) 265-7584, (442) 265-4955, (442) 265- 4974 or the Program and Compliance Manager (442) 265-4963.

**Imperial County Workforce and Economic Development Office
Financial Statement
As of December 31, 2024**

FY 2024-2025 WIOA Allocation		\$	8,316,105			
FY 2022-2025 Lithium Project		\$	679,074			
FY 2023-2024 WIOA Carryover		\$	5,763,681			
Total Budget FY 2024-2025		\$	14,758,860			
		Contract End Dates	Budget 2024-2025	YTD Expenditures	Unexpended	Percentage of Expenditures per Line Item
1	Workforce Development Office Salaries (10 Staff)	30-Jun-25	762,553	332,058	430,495	44%
2	Workforce Development Office Benefits (10 Staff)	30-Jun-25	346,769	147,716	199,053	43%
3	Workforce Development Office Cost	30-Jun-25	583,264	181,609	401,655	31%
4	Workforce Development Board Salaries (3 Staff)	30-Jun-25	339,243	122,377	216,866	36%
5	Workforce Development Board Benefits (3 Staff)	30-Jun-25	161,161	46,372	114,789	29%
6	Workforce Development Board Cost	30-Jun-25	231,812	37,082	194,730	16%
7	One Stop Operational Salaries (16 Staff)	30-Jun-25	1,004,904	364,043	640,861	36%
8	One Stop Operational Benefits (16 Staff)	30-Jun-25	328,954	211,532	117,422	64%
9	One Stop Operational Cost	30-Jun-25	1,447,293	509,786	937,507	35%
10	Business Center Salaries (3 Staff)	30-Jun-25	160,825	74,846	85,979	47%
11	Business Center Benefits (3 Staff)	30-Jun-25	142,351	25,465	116,886	18%
12	Business Center Cost	30-Jun-25	397,490	198,574	198,916	50%
13	CWA Membership Dues	30-Jun-25	14,260	14,260	-	100%
14	National Association of Workforce Boards (NAWB)	30-Jun-25	2,300	2,300	-	100%
15	LIGHTCAST-EMSI Analyst Software	30-Jun-25	9,250	-	9,250	0%
16	Placer.ai - Software	30-Jun-25	27,562	27,562	-	100%
17	Career EDGE - Annual License	30-Jun-25	31,500	31,500	-	100%
18	SIERRA HR Hotline	30-Jun-25	10,008	4,170	5,838	42%
19	WDB Members, Meals, Travel, Conferences	30-Jun-25	55,000	5,494	49,506	10%
20	WDB/WDO Marketing & Other Services	30-Jun-25	60,000	9,116	50,884	15%
21	Propath Inc., - AJCC Operator 25-26	31-Dec-25	75,000	-	75,000	0%
22	Professional Consulting Services - David K Shinder	30-Jun-25	40,000	8,024	31,976	20%
Year Round Youth 2024-2025						
23	IVROP Operational Cost	30-Jun-25	1,075,794	298,418	777,376	28%
24	IVROP Work Experience/Stipends	30-Jun-25	1,119,900	358,220	761,680	32%
25	IVROP Supportive Services	30-Jun-25	11,763	2,910	8,853	25%
26	EQUUS Operational Cost	30-Jun-25	1,002,338	-	1,002,338	0%
27	EQUUS Work Experience	30-Jun-25	386,705	-	386,705	0%
28	EQUUS Supportive Services	30-Jun-25	3,500	-	3,500	0%
Workers Compensation & ADP WIOA Participant Fees						
29	Workers Compensation WIOA Participant Fees	30-Jun-25	100,000	47,560	52,440	48%
30	ADP Participant WIOA Processing Fees	30-Jun-25	30,000	8,333	21,667	28%
31	Adult WIOA Supportive Services	30-Jun-25	170,000	78,560	91,440	46%
201/501 30% Training Expenditure FY 2024-2025						
32	Adult Vocational ITA 24-25	30-Jun-25	853,780	187,957	665,824	22%
33	OJT One Stop Centers 24-25	30-Jun-25	378,000	88,365	289,636	23%
34	Incumbent Worker Training (IWT) 24-25	30-Jun-25	50,000	1,620	48,380	3%
35	Transitional Jobs	30-Jun-25	443,671	80,743	362,928	18%
Adult Work Experience(WEX) FY2024-2025						
36	WEX-Adult Work Experience 24-25	30-Jun-25	259,823	173,062	86,761	67%
Nursing Mentorship Programs FY 2024-2025						
37	IVROP Registered Nurse Mentorship Program XIII 24-25	31-Dec-25	550,000	-	550,000	0%
38	IVROP Licensed Vocational Nurse Mentorship Program III 24-25	31-Dec-25	338,108	-	338,108	0%
Carry over Obligated Training Expenditure FY 2023-2024						
39	Adult Vocational ITA 23-24	30-Jun-25	240,000	179,232	60,768	75%
40	OJT One Stop Centers 23-24	30-Jun-25	102,855	61,825	41,030	60%
41	Transitional Jobs	30-Jun-25	105,441	55,789	49,652	53%
42	IVROP Registered Nurse Mentorship Program XII 23-24	31-Dec-24	57,578	24,658	32,920	43%
43	IVROP Registered Nurse Mentorship Program XIII 24-25	30-Jun-25	339,116	159,270	179,846	47%
44	IVROP Licensed Vocational Nurse Mentorship Program II 24-25	31-Dec-24	95,000	58,761	36,239	62%
Adult Work Experience(WEX) 2023-2024						
45	WEX-Adult Work Experience 23-24	30-Jun-25	97,915	89,434	8,481	91%
46	Propath Inc., - AJCC Operator 23-24	31-Dec-24	37,000	26,200	10,800	71%
47	Lithium Valley Assessment Project (Rapid Response)	30-Jun-25	679,074	105,360	573,714	16%
Total Funds Allocated			14,758,860	4,440,163	10,318,697	
Funds available to be allocated				-	-	
Grand Total			14,758,860	4,440,163	10,318,697	
Percentage of Expenditures						30%